

An Algebraic Interpretation of the Multiplicity Sequence of an Algebraic Branch

Une Interprétation Algébrique de la Suite
des Ordres de Multiplicité d'une
Branche Algébrique

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The sequence of multiplicities of successive points of an algebraic branch can be defined through purely algebraic notions. In what follows we present such a definition which does not differ from the geometric definition except by its form. We hope that this definition will constitute an answer to a question posed by P. Du Val* on the relation which exists between his results and the power series² expansion of the branch under consideration. (p 256)¹

Section 1:

k being any field, we consider a ring H formed by some power series of a single variable t with coefficients in k . Let

$$W(H) = \{i_0 = 0, i_1, i_2, \dots, i_r, i_{r+1}, \dots\}^4$$

*P. Du Val, “The Jacobian algorithm and the multiplicity sequence of an algebraic branch”, *Rev. Faculté Sci. Univ. Istanbul (Série A)*, 7 (1942), 107-112.

be the orders (i.e. the degrees of the first terms with non-zero coefficients) of the elements of H . The integers $i_0, i_1, \dots, i_r, \dots$ form a semigroup of the non-negative⁵ integers. $S_0, S_{i_1}, S_{i_2}, \dots, S_{i_r}, \dots$ being elements of H of orders $i_0, i_1, \dots, i_r, \dots$ respectively, any element of this ring is of the form

$$\sum_{\ell=0}^{\infty} \alpha_{\ell} S_{i_{\ell}} \quad (\alpha_{\ell} \in k).$$

We assume that H contains all the series of this form. We denote by I_h the set of elements of H of orders larger than or equal to h . I_h is clearly an ideal of H and its elements are of the form

$$\sum_{i_{\ell} \geq h}^{\infty} \alpha_{\ell} S_{i_{\ell}} \quad (\alpha_{\ell} \in k).$$

Lemma 1.⁶ ν being the gcd of the elements of $W(H)$, for r sufficiently large, (p 257) one has

$$i_{r+1} = i_r + \nu, i_{r+2} = i_r + 2\nu, \dots, i_{r+\ell} = i_r + \ell\nu, \dots$$

and there exists a power series of order 1,

$$\tau = t \left(1 + \sum_{\ell=1}^{\infty} \delta_{\ell} t^{\ell} \right) \quad (\delta_{\ell} \in k)$$

such that every element of H is of the form $\sum_{j=0}^{\infty} \alpha_j \tau^{j\nu}$.

Proof. Let us denote the gcd of the integers $i_1, i_2, \dots, i_{\ell}$ by ν_{ℓ} . Each of these numbers divides all those that come before it. It follows that for ρ sufficiently large we have $\nu_{\rho} = \nu_{\rho+1} = \nu_{\rho+2} = \dots = \nu$. Then let

$$\nu = m_1 i_1 + m_2 i_2 + \dots + m_{\rho} i_{\rho},$$

$m_1, m_2, \dots, m_{\rho}$ being integers which are positive, zero or negative. m being the largest of the integers $|m_h(i_1/\nu - 1)|$, the multiples of ν which are greater than

$$i = m i_1 + m i_2 + \dots + m i_{\rho}$$

are contained in $W(H)$. In fact we have, for $\ell = 0, 1, 2, \dots, i_1/\nu - 1$,

$$\begin{aligned} i + \ell\nu &= (m + \ell m_1) i_1 + (m + \ell m_2) i_2 + \dots + (m + \ell m_{\rho}) i_{\rho} \\ &= n_1 i_1 + n_2 i_2 + \dots + n_{\rho} i_{\rho}, \end{aligned}$$

with $n_h \geq 0$; since $m \geq |m_h \ell|$. For $\ell = i_1/\nu$, we have $i + i_1 \in W(H)$. In general, the multiples of ν which are greater than i can be written in the form $i + j i_1 + \ell \nu$ ($\ell = 0, 1, 2, \dots, i_1/\nu - 1, j \geq 0$) and it is obvious that all of these integers are of the form $\sum_{h=1}^{\rho} n_h i_h$ with $n_h \geq 0$; i.e. belong to $W(H)$.

$S_{i_1} = \sum_{\ell=i_1}^{\infty} \sigma_{\ell} t^{\ell}$ ($\sigma_{\ell} \in k, \sigma_{i_1} \neq 0$)⁷ being an element of order i_1 in H , we can

choose a power series of the form $\tau = t \left(1 + \sum_{\ell=1}^{\infty} \delta_{\ell} t^{\ell} \right)$, ($\delta_{\ell} \in k$) in such

a way that we have $S_{i_1} = \sigma_{i_1} \tau^{i_1}$. Under these conditions the power series in t with coefficients in k may be written in the form of power series in τ with coefficients in k . *In particular the elements of H can be written in the form*

$\sum_{j=0}^{\infty} \alpha_j \tau^{j\nu}$. It suffices to prove this for the elements of H of orders greater than

i ; since every element of H can be considered as a quotient of an element in H of order greater than i by a suitably chosen power of $S_{i_1} = \sigma_{i_1} \tau^{\nu(i_1/\nu)}$. The orders of the elements of H being multiples of ν , any element of H is of the

form $\sum_{j=N\nu}^{\infty} \alpha_j \tau^j$ ($\alpha_j \in k, \alpha_{N\nu} \neq 0$). For $N\nu \geq i$, the ring H contains the ele-

ments, $S_{N\nu+\nu}, S_{N\nu+2\nu}, \dots, \left(S_{N\nu+\ell\nu} = \sum_{j=N\nu+\ell\nu}^{\infty} \alpha_{\ell,j} \tau^j, \alpha_{\ell,j} \in k, \alpha_{\ell,N\nu+\ell\nu} \neq 0 \right)$ (p 258)

of orders $N\nu + \nu, N\nu + 2\nu, \dots$ respectively. We can then choose the series

$\sum_{\ell=1}^{\infty} \beta_{\ell} S_{N\nu+\ell\nu}$ in such a way that the difference

$$S_{N\nu} = \sum_{j=N\nu}^{\infty} \alpha_j \tau^j - \sum_{\ell=1}^{\infty} \beta_{\ell} S_{N\nu+\ell\nu} = \alpha_{N\nu} \tau^{N\nu} + \tilde{\alpha}_{\mu} \tau^{\mu} + \dots$$

does not contain any terms of order divisible by ν , other than the first. Indeed suppose that $\beta_1, \beta_2, \dots, \beta_h$ are chosen such that the terms of orders $N\nu + \nu, N\nu + 2\nu, \dots, N\nu + h\nu$ of the difference

$$\sum_{j=N\nu}^{\infty} \alpha_j \tau^j - \sum_{\ell=1}^h \beta_{\ell} S_{N\nu+\ell\nu} = \alpha_{N\nu} \tau^{N\nu} + \alpha_{\mu}^{(h)} \tau^{\mu_h} + \dots$$

vanish; it suffices then to set

$$\beta_{h+1} = \frac{\alpha_{N\nu+h\nu+\nu}^{(h)}}{\alpha_{h+1, N\nu+h\nu+\nu}}$$

so that the terms of orders $N\nu + \nu, N\nu + 2\nu, \dots, N\nu + h\nu, N\nu + h\nu + \nu$ of the difference

$$\sum_{j=N\nu}^{\infty} \alpha_j \tau^j - \sum_{\ell=1}^{h+1} \beta_{\ell} S_{N\nu+\ell\nu} = \alpha_{N\nu} \tau^{N\nu} + \alpha_{\mu_{h+1}}^{(h+1)} \tau^{\mu_{h+1}} + \dots$$

vanish. Under these conditions the series $S_{N\nu}$ reduces to $\alpha_{N\nu} \tau^{N\nu}$. Otherwise the difference

$$S_{N\nu}^{i_1/\nu} - \alpha_{N\nu}^{i_1/\nu} \left(\frac{S_{i_1}}{\sigma_{i_1}} \right)^N = \frac{i_1}{\nu} \alpha_{N\nu}^{i_1/\nu-1} \tilde{\alpha}_{\mu} \tau^{N\nu(i_1/\nu-1)+\mu} + \dots$$

whose order is not divisible by ν will be in H . Therefore every element of H of order greater than i is a linear combination with coefficients in k of elements of the form $\alpha_{N\nu} \tau^{N\nu} = S_{N\nu}$. \square^8

Remark. After the preceding theorem, the ring H may be considered as a subring of the ring of power series of the variable $T = \tau^{\nu}$ with coefficients in k . Let us set ${}^*i_h = i_h/\nu$. The orders of the elements of H with respect to this new variable will be ${}^*i_0 = 0, {}^*i_1, {}^*i_2, \dots, {}^*i_r, \dots$, and for r sufficiently large, one will have

$${}^*i_{r+1} = {}^*i_r + 1, {}^*i_{r+2} = {}^*i_r + 2, \dots$$

Lemma 2. *The inverse of every element of order zero of H is also an element of H .*

Proof. If the order of $a = \sum_{h=0}^{\infty} \alpha_h S_{i_h}$ is zero, then α_0 is different than zero. In fact the coefficients β_h of the product

$$\alpha_0^{-1} \prod_{h=1}^{\infty} (1 + \beta_h S_{i_h})$$

can be chosen such that we have

(p 259)

$$a\alpha_0^{-1} \prod_{h=1}^n (1 + \beta_h S_{i_h}) \equiv 1 \pmod{t^{i_n+1}}.$$

Suppose now that this choice has been made for $\beta_1, \beta_2, \dots, \beta_{n-1}$. We have

$$a\alpha_0^{-1} \prod_{h=1}^{n-1} (1 + \beta_h S_{i_h}) = 1 + \gamma_n S_{i_n} + \gamma_{n+1} S_{i_{n+1}} + \dots$$

and it suffices to set $\beta_n = -\gamma_n$ to have

$$a\alpha_0^{-1} \prod_{h=1}^n (1 + \beta_h S_{i_h}) \equiv 1 \pmod{t^{i_n+1}}.$$

For the coefficients β_h chosen in this manner we obviously have

$$a\alpha_0^{-1} \prod_{h=1}^{\infty} (1 + \beta_h S_{i_h}) = 1.$$

□

Remark. $\sum_{h=0}^{\infty} \alpha_h S_{i_h}$ being an element of order zero in H , to each n -th root

of α_0 contained in k corresponds an n -th root of $\sum_{h=0}^{\infty} \alpha_h S_{i_h}$ contained in H . The proof of this fact is similar to that of Lemma 2.

Section 2:

Lemma 3. *If one denotes by I_h/S_h the set of quotients of elements of I_h by S_h , and by $[I_h/S_h]$ the ring generated by I_h/S_h , the ring $[I_h/S_h]$ does not depend on the choice of S_h among the elements of H of order h .*

Proof. Let us first note that the set I_h/S_h contains the ring H and consequently $[I_h/S_h] \supseteq H$.

Let $S'_h = \epsilon S_h$ be another element of order h in H . ϵ is then an element of $[I_h/S_h]$. It follows from Lemma 2 that ϵ^{-1} is also an element of $[I_h/S_h]$. We then have

$$I_h/S'_h = I_h/\epsilon S_h = \epsilon^{-1}(I_h/S_h) \subseteq [I_h/S_h]$$

and therefore

$$[I_h/S'_h] \subseteq [I_h/S_h].$$

We can obviously show in exactly the same manner that we also have

$$[I_h/S_h] \subseteq [I_h/S'_h].$$

We then have $[I_h/S'_h] = [I_h/S_h]$. □

The ring $[I_h/S_h]$ being independent of the choice of S_h , we can denote it by $[I_h]$.

Remark. The semigroup $W([I_h])$ clearly contains the semigroup generated by the integers

$$i_h - i_h = 0, i_{h+1} - i_h, i_{h+2} - i_h, \dots$$

which are the orders of the elements of I_h/S_{i_h} . But as the following example (p 260) shows, $W([I_{i_h}])$ is not necessarily equal to this semigroup:

Let us consider the ring H formed by all series of the form

$$\sum_{i,j \geq 0} \alpha_{ij} X^i Y^j \quad (\alpha_{ij} \in k),$$

where $X = t^4$, $Y = t^{10} + t^{15}$. One easily shows that $W(H)$ is formed by the integers

$$0, 4, 8, 10, 12, 14, 16, 18, 20, 22, 24, 25, 26 \\ 28, 29, 30, 32, 33, 34, 35, 36, 37, 38, \dots$$

Then the orders of the elements of I_4/X are the integers

$$0, 4, 6, 8, 10, 12, 14, 16, 18, 20, 21, 22, \\ 24, 25, 26, 28, 29, 30, 31, 32, 33, 34, \dots$$

which generate the semigroup

$$0, 4, 6, 8, 10, 12, 14, 16, 18, 20, 21, 22, 24, \\ 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, \dots$$

while $[I_4]$ contains the element $(Y/X)^2 - X^2 = 2t^{17} + t^{22}$ whose order is 17.

Remark. If for some particular choice of S_h , the ring $[I_h]$ is equal to I_h/S_h , then it is the same for all choices of S_h . In fact, $S'_h = \epsilon S_h$ being another element of order h of H , we have

$$I_h/S'_h = \epsilon^{-1}I_h/S_h = \epsilon^{-1}[I_h] = [I_h];$$

since every element S of $[I_h]$ is equal to an element ϵS of $[I_h]$ multiplied by ϵ^{-1} .

Definition. We say that the ring H is canonical⁹ if one has $[I_h] = I_h/S_h$ for all $h \in W(H)$.

Remark. If H is a canonical ring, the integers

$$i_h - i_h = 0, i_{h+1} - i_h, i_{h+2} - i_h, \dots$$

form a semigroup for every h . A semigroup of non-negative integers

$$i_0 = 0, i_1, i_2, \dots, i_h, \dots$$

is called canonical if the sequence

$$i_h - i_h = 0, i_{h+1} - i_h, i_{h+2} - i_h, \dots$$

is a semigroup for each h . If the sequence of increasing integers

$$i_0 = 0, i_1, i_2, \dots, i_h, \dots$$

is a canonical semigroup, then the power series

$$\sum_{h=0}^{\infty} \alpha_h t^{i_h} \quad (\alpha_h \in k),$$

clearly forms a canonical ring. $W(H)$ can be canonical without it being the case (p 261) for H : The ring formed by the series of the form $\sum_{i,j,\ell \geq 0} \alpha_{ij\ell} X^i Y^j Z^\ell$ ($\alpha_{ij\ell} \in k$),

with $X = t^4, Y = t^{10} + t^{15}, Z = t^{27}$, is such that the orders

$$0, 4, 8, 10, 12, 14, 16, 18, 20, 22, 24, 25, 26, 27, 28, 29, 30, \dots$$

of its elements form, as one can easily verify, a canonical semigroup, while H is not a canonical ring, since $[I_4]$ an element of order 17, $(Y/X)^2 - X^3 = 2t^{17} + t^{22}$, which is not contained in I_4/X .

Lemma 4. *The intersection of several canonical rings is canonical.*

Proof. It obviously suffices to prove the lemma only for the intersection of two canonical rings. H and H' being two canonical rings, let S be a common element of these two rings. Let h be the order of S let I_h and I'_h be the set of elements of H and H' whose orders are not less than h . It suffices to show that

$$(I_h \cap I'_h)/S = I_h/S \cap I'_h/S$$

is a ring. Now I_h/S and I'_h/S being rings, it is the same for their intersection. \square

Remark. If H is a canonical ring, then so is $[I_{i_h}]$. Indeed consider the set of elements of I_{i_h} . These elements are of the form

$$\sum_{\nu=h}^{\infty} \alpha_{\nu} S_{i_{\nu}} \quad (\alpha_{\nu} \in k).$$

H being a canonical ring, the ring $[I_{i_h}]$ consists of the set of series of the form

$$\sum_{\nu=h}^{\infty} \alpha_{\nu} \frac{S_{i_{\nu}}}{S_{i_h}} \text{ whose orders are the numbers}$$

$$0, j_1 = i_{h+1} - i_h, j_2 = i_{h+2} - i_h, \dots$$

The set of elements of $[I_{i_h}]$ of order greater than or equal to j_{ℓ} is then the set of series of the form

$$\sum_{\nu=h+\ell}^{\infty} \alpha_{\nu} \frac{S_{i_{\nu}}}{S_{i_h}} \quad (\alpha_{\nu} \in k).$$

$S_{i_{h+\ell}}/S_{i_h}$ being an element of order $j_{\ell} = i_{h+\ell} - i_h$ of this set, the set of elements

$$\left(\sum_{\nu=h+\ell}^{\infty} \alpha_{\nu} \frac{S_{i_{\nu}}}{S_{i_h}} \right) / \frac{S_{i_{h+\ell}}}{S_{i_h}} = \sum_{\nu=h+\ell}^{\infty} \alpha_{\nu} \frac{S_{i_{\nu}}}{S_{i_{h+\ell}}}$$

is the ring $[I_{i_{h+\ell}}]$.

\mathbb{N} being the set of all non-negative integers*, we show in a similar manner that (p 262)
if

$$\{0, i_1, i_2, \dots, i_r + \mathbb{N}\nu\}$$

*In what follows \mathbb{N} will always denote the set of all non-negative integers.¹⁰

is a canonical semigroup, it is the same for

$$\{0, i_{h+1} - i_h, \dots, i_r - i_h + \mathbb{N}\nu\}.$$

Remark. If the integers

$$i_0 = 0, i_1, i_2, \dots, i_h, \dots$$

form a canonical semigroup, then we have $i_{h+1} - i_h \leq i_h - i_{h-1}$. In fact, before the integers $i_{h-1} - i_{h-2} = 0, i_h - i_{h-1}, i_{h+1} - i_{h-1}, \dots, i_r - i_{h-1}, \dots$ form a semigroup, we must have $i_{h+1} - i_{h-1} \leq 2(i_h - i_{h-1})$; from which the inequality $i_{h+1} - i_h \leq i_h - i_{h-1}$ follows immediately.

Section 3:

From the remark which follows immediately Lemma 1, $I_{(N-1)\nu}$ contains all the power series whose orders in $T = \tau^\nu$ are greater than or equal to $N - 1$ provided that N is sufficiently large. $[I_{(N-1)\nu}]$ is then the ring $k[T]$ of all the power series in T with coefficients in k . This remark leads to the following construction which allows us to obtain all the canonical rings as well as all the canonical semigroups.

We begin by considering the ring $[I_{(N-1)\nu}] = k[T]$ of all power series in T and the semigroup $\mathbb{N}\nu$ of multiples of ν by non-negative integers. We choose an element T_{r-1} of non-zero order in $[I_{(N-1)\nu}]$, and a non-zero element $\nu_{r-1} (= w(T_{r-1})^*)$ in $\mathbb{N}\nu$ and we set

$$[I_{i_{r-1}}] = k + T_{r-1}[I_{(N-1)\nu}] \quad (i_r = (N - 1)\nu).$$

The ring $[I_{i_{r-1}}]$ and the semigroup $\{0, \nu_{r-1} + \mathbb{N}\nu\}$ ($= W([I_{i_{r-1}}])$) are canonical. Similarly we choose an element T_{r-2} of non-zero order in $[I_{i_{r-1}}]$ and a positive integer ν_{r-2} ($= w(T_{r-2})$) in $\{0, \nu_{r-1} + \mathbb{N}\nu\}$, and we set

$$\begin{aligned} [I_{i_{r-2}}] &= k + T_{r-2}[I_{i_{r-1}}] \\ &= k + kT_{r-2} + T_{r-2}T_{r-1}k[T], \\ W([I_{i_{r-2}}]) &= \{0, \nu_{r-2}, \nu_{r-2} + \nu_{r-1} + \mathbb{N}\nu\}. \end{aligned}$$

*In what follows $w\left(\sum_{i=\mu}^{\infty} \alpha_i t^i\right)$ denotes the order of the series $\sum_{i=\mu}^{\infty} \alpha_i t^i$ in t .

Thus we obtain a new canonical ring and also a canonical semigroup. Continuing in this manner we finally obtain the canonical ring

$$k + kT_1 + kT_1T_2 + \cdots + kT_1T_2 \cdots T_{r-2} + k[T]T_1T_2 \cdots T_{r-2}T_{r-1}$$

and the canonical semigroup

(p 263)

$$\{0, \nu_1, \nu_1 + \nu_2, \dots, \nu_1 + \nu_2 + \cdots + \nu_{r-1} + \mathbb{N}\nu\}$$

with

$$T_h \in kT_{h+1} + kT_{h+1}T_{h+2} + \cdots + k[T]T_{h+1}T_{h+2} \cdots T_{r-1},$$

$$(w(T_h) =) \nu_h \in \{\nu_{h+1}, \nu_{h+1} + \nu_{h+2}, \dots, \nu_{h+1} + \nu_{h+2} + \cdots + \nu_{r-1} + \mathbb{N}\nu\}.$$

Section 4:

Given a ring H , the intersection of all canonical rings containing H is a canonical ring *H which we call the *canonical closure*¹¹ of H . Similarly $G = \{0, i_1, i_2, \dots, i_{r-1} + \mathbb{N}\nu\}$ being a semigroup of non-negative integers ($\nu = (i_1, i_2, \dots, i_{r-1} + \nu)$), the intersection of all canonical semigroups containing G is a canonical semigroup *G ; we call it the *canonical closure* of G .

It follows from this definition that $W({}^*H)$ contains the canonical semigroup ${}^*W(H)$; but these two semigroups¹² are not necessarily equal, since $W(H)$ may be canonical without H being so.

Section 5:

Given a semigroup

$$G = \{0, i_1, i_2, \dots, i_{r-1} + \mathbb{N}\nu\} \quad (\nu = (i_1, i_2, \dots, i_{r-1}, i_{r-1} + \nu)),$$

the canonical closure *G of G is obtained as follows: We consider the semigroup $\{0, i_1 + G_1\}$ where G_1 is the semigroup of integers of the form

$$\alpha_2(i_2 - i_1) + \alpha_3(i_3 - i_1) + \cdots + \alpha_n(i_n - i_1),$$

where the coefficients $\alpha_1, \alpha_2, \dots, \alpha_n$ are non-negative integers. The semigroup $\{0, i_1 + G_1\}$ which then contains G is obviously contained in *G . Note that the elements of G_1 which are less than $i_{h+1} - i_1$ are of the form

$$\alpha_2(i_2 - i_1) + \alpha_3(i_3 - i_1) + \cdots + \alpha_h(i_h - i_1);$$

the integers

$$\alpha_2(i_2 - i_1) + \alpha_3(i_3 - i_1) + \cdots + \alpha_n(i_n - i_1)$$

with $n \geq h + 1$, $\alpha_n \neq 0$ are in fact greater than or equal to $i_{h+1} - i_1$. In particular the smallest element of G_1 is $i_2 - i_1$. Furthermore it follows that the elements of $\{0, i_1 + G_1\}$ which are less than i_{h+1} depend only on i_1, i_2, \dots, i_h , and are linear combinations of these with integer coefficients. The semigroup $\{i_1 + G_1\}$ being contained in *G , it is the same for $\{i_1 + {}^*G_1\}$ which contains $\{i_1 + G_1\} \supseteq G$, and is canonical. The construction of *G is thus reduced to the construction of the canonical closure of a semi-group of the form

$$G_1 = \{0, i'_1, i'_2, \dots, i'_{r'-1} + \mathbb{N}\nu\};$$

for which we have $i'_{r'-1} \leq i_{r-1} - i_1$. The repetition of this construction reduces the proposed construction to that of the canonical closure of a semigroup G_N which itself reduces, for N sufficiently large, to the semigroup $\mathbb{N}\nu$ of all non-negative multiples of ν . $\mathbb{N}\nu$ being its own canonical closure, the proposed procedure thus terminates. Note that the elements of *G which are thus constructed depend only on the elements of G which are not greater than themselves; and they are linear combinations of them with integer coefficients. Suppose in fact that this is proved for the closure *G_1 of G_1 . The elements of *G_1 which are smaller than $i_{h+1} - i_h$ depend only on the elements of G_1 which are smaller than $i_{h+1} - i_h$, and they are their linear combinations with integer coefficients; now these latter ones in turn depend only on i_1, i_2, \dots, i_h and are their linear combinations with integer coefficients. It follows that the elements of $\{0, i_1 + {}^*G_1\} = {}^*G$ which are smaller than $i_{h+1} - i_h$ depend only on i_1, i_2, \dots, i_h , and they are their linear combinations with integer coefficients. (p 264)

Given a canonical semigroup

$${}^*G = \{0, i_1, i_2, \dots, i_{r-1} + \mathbb{N}\nu\} \quad (\nu = (i_1, i_2, \dots, i_{r-1}, i_{r-1} + \nu)),$$

there exist only a finite number semigroups g such that ${}^*g = {}^*G$. In fact let

$$g = \{0, j_1, j_2, \dots, j_s, j_{s+1}, \dots\}$$

be such a semigroup. Let j_1, j_2, \dots, j_n of the integers $j_1, j_2, \dots, j_s, \dots$ be smaller than $i_{r+1} = i_{r-1} + 2\nu$. Since i_{r-1} and $i_{r-1} + \nu$ are linear combinations of j_1, j_2, \dots, j_n with integer coefficients, the gcd of these numbers is ν . Now to each system of positive integers smaller than $i_{r+1} = i_{r-1} + 2\nu$ whose gcd is ν , we

can associate a multiple $j\nu$ of ν such that every semigroup of non-negative integers containing the system, contains all the multiples of ν larger than $j\nu$. Let $L\nu$ be the largest of the integers $j\nu$ which are thus associated to systems of positive multiples of ν smaller than $i_{r+1} = i_{r-1} + 2\nu$. The semigroups g for which we have $*g = *G$ contain then all the multiples of ν which are larger than $L\nu$ and they differ among themselves only by those elements which are smaller than $L\nu$.

Theorem 1. *The intersection of all the semigroups g such that $*g = *G$ is a semigroup g_χ such that $*g_\chi = *G$.*

Proof. Let g be a semigroup such that we have $*g = *G$ and that no proper sub-semigroup of g has this property; we will show that $g = g_\chi$. Let i be the smallest element of g not in g_χ . Let $i_0 = 0, i_1, i_2, \dots, i_h$ be the elements of g and g_χ which are smaller than i . Since i is not contained in g_χ , the number i is not of the form

$$\alpha_1 i_1 + \alpha_2 i_2 + \dots + \alpha_h i_h,$$

where $\alpha_1, \alpha_2, \dots, \alpha_h$ are non-negative integers. On the other hand g_χ being the intersection of all semigroups whose canonical closure is $*G$, there exists a semigroup g' such that $*g' = *G$ and which does not contain the number i . Since the elements of $*G = *g$ which are smaller than i depend only on i_1, i_2, \dots, i_h , the semigroup g'' obtained by removing from g' all the the positive integers smaller than i except i_1, i_2, \dots, i_h still has the property that $*g'' = *G$. It follows that the elements of $*G$ which are smaller than or equal to i depend only on the numbers i_1, i_2, \dots, i_h ; since g'' does not contain the number i . Therefore the canonical closure of the sub-semigroup of g obtained by removing from it the number i is still equal to $*G$. This contradicts the choice of g . We then have $g_\chi = g$ and consequently $*g_\chi = *G$. (p 265) \square

The semigroup g_χ defined in the statement of Theorem 1 is called the *characteristic sub-semigroup* of all the g such that $*g = *G$. It is clear that the semigroup g_χ is such that every proper sub-semigroup of g_χ has a canonical closure different than $*g_\chi = *G$. Conversely if g_χ is such that for every sub-semigroup g' of g_χ we have $*g' \neq *g_\chi$, then g_χ is its own characteristic sub-semigroup.

$g_\chi = \{0, i_1, i_2, \dots, i_{r-1}, i_r, \dots\}$ being the characteristic sub-semigroup of g , let us consider the integers $\chi_1, \chi_2, \dots, \chi_h$ defined in the following manner: $\chi_1 = i_1$; χ_2 is the smallest of the integers $i_1, i_2, \dots, i_r, \dots$ which is not of the form $\alpha_1 \chi_1$ where α_1 is a non-negative integer; χ_3 is the smallest of the integers

$i_1, i_2, \dots, i_r, \dots$ which is not of the form $\alpha_1\chi_1 + \alpha_2\chi_2$ where α_1, α_2 are non-negative integers; finally $\chi_1, \chi_2, \dots, \chi_n$ being defined, χ_{n+1} is the smallest of the integers $i_1, i_2, \dots, i_r, \dots$ which is not of the form

$$\alpha_1\chi_1 + \alpha_2\chi_2 + \dots + \alpha_n\chi_n$$

where $\alpha_1, \alpha_2, \dots, \alpha_n$ are non-negative integers. The numbers $\chi_1, \chi_2, \dots, \chi_h$ defined in this manner are called *the characters* of g .

Theorem 2. $\gamma_1 < \gamma_2 < \dots < \gamma_\ell$ being a collection of positive integers, the set of characters of the semigroup g of integers of the form

$$\alpha_1\gamma_1 + \alpha_2\gamma_2 + \dots + \alpha_\ell\gamma_\ell,$$

where $\alpha_1, \alpha_2, \dots, \alpha_\ell$ are non-negative integers, is contained in the collection $\gamma_1, \gamma_2, \dots, \gamma_\ell$.

Proof. Let χ_i be the smallest of the characters $\chi_1, \chi_2, \dots, \chi_h$ of g which is not contained in the set $\gamma_1, \gamma_2, \dots, \gamma_\ell$. It follows from the definition of g_χ that χ_i is of the form $\alpha_1\gamma_1 + \alpha_2\gamma_2 + \dots + \alpha_{\ell'}\gamma_{\ell'}$ where $\alpha_1, \alpha_2, \dots, \alpha_{\ell'}$ are non-negative integers and where $\gamma_1, \gamma_2, \dots, \gamma_{\ell'}$ are those integers among $\gamma_1, \gamma_2, \dots, \gamma_\ell$ which are smaller than χ_i . Since $\gamma_1, \gamma_2, \dots, \gamma_\ell$ are elements of the canonical closure of g_χ , every semigroup containing $\chi_1, \chi_2, \dots, \chi_{i-1}$ contains also $\gamma_1, \gamma_2, \dots, \gamma_{\ell'}$. This implies that the canonical closure of the semigroup of linear combinations with non-negative integer coefficients of $\chi_1, \chi_2, \dots, \chi_{i-1}, \chi_{i+1}, \dots, \chi_h$ contains χ_i , and it follows that g_χ is not a characteristic semigroup. Therefore the set $\gamma_1, \gamma_2, \dots, \gamma_\ell$ necessarily contains the set $\chi_1, \chi_2, \dots, \chi_h$. \square

Theorem 3. g being the semigroup of linear combinations of [the integers]¹³ $0 < \gamma_1 < \gamma_2 < \dots < \gamma_\ell$ with non-negative integer coefficients, the integers (p 266)

$$\nu_1, \nu_2, \dots, \nu_{N-2}, \nu_{N-1}, \nu$$

with the property that

$$*g = \{0, \nu_1, \nu_1 + \nu_2, \dots, \nu_1 + \nu_2 + \dots + \nu_{N-1} + \mathbb{N}\nu\},$$

are obtained from $\gamma_1, \gamma_2, \dots, \gamma_\ell$ by the quasi-Jacobian algorithm of Du Val.* The integers $\nu_1, \nu_2, \dots, \nu_{N-1}, \nu$ appear there as divisors, while the partial quotients

*Du Val, loc. cit.

represent the number of times each divisor appears in the sequence $\nu_1, \nu_2, \dots, \nu_{N-1}, \nu$. Conversely if the numbers

$$\nu_1, \nu_2, \dots, \nu_{N-1}, \nu$$

are obtained from $\gamma_1, \gamma_2, \dots, \gamma_\ell$ by the quasi-Jacobian algorithm of Du Val, the partial quotients being the number of times each divisor appear in the sequence $\nu_1, \nu_2, \dots, \nu_{N-1}, \nu$, then the canonical closure of the semigroup of the integers of the form

$$\alpha_1 \gamma_1 + \alpha_2 \gamma_2 + \dots + \alpha_\ell \gamma_\ell,$$

where $\alpha_1, \alpha_2, \dots, \alpha_\ell$ are non-negative integers, is *g .

Proof. ν being the greatest common divisor of the elements of g , we have $\gamma_1 \geq \nu$. If $\gamma_1 = \nu$, the semigroup g consists of the set of all multiples of $\gamma_1 = \nu$, and we have $g = {}^*g = \{\mathbb{N}\nu\}$. In this case the algorithm terminates at the first step. Let's assume that the proposition is proved for every set $\gamma'_1, \gamma'_2, \dots, \gamma'_\ell$ for which $\gamma'_1 < \gamma_1$, and prove it for for the set $\gamma_1, \gamma_2, \dots, \gamma_\ell$. Let γ_i be the smallest of the integers $\gamma_1, \gamma_2, \dots, \gamma_\ell$ which is not divisible by γ_1 . Let q be the quotient of γ_i by γ_1 and let us consider the semigroup Γ of linear combinations of $\gamma_i - q\gamma_1, \gamma_{i+1} - q\gamma_1, \dots, \gamma_\ell - q\gamma_1, \gamma_1$ with non-negative integer coefficients. The semigroup *g clearly contains the semigroup $\{0, \gamma_1, 2\gamma_1, \dots, q\gamma_1 + \Gamma\}$ which contains g . We then have

$$\begin{aligned} {}^*g &= \{0, \gamma_1, 2\gamma_1, \dots, q\gamma_1 + {}^*\Gamma\}, \\ \nu_1 &= \gamma_1, \nu_2 = \gamma_1, \dots, \nu_q = \gamma_1 \end{aligned}$$

i.e.

$${}^*\Gamma = \{0, \nu_{q+1}, \nu_{q+1} + \nu_{q+2}, \dots, \nu_{q+1} + \dots + \nu_{N-1} + \mathbb{N}\nu\}.$$

The integers $\gamma_i - q\gamma_1, \gamma_{i+1} - q\gamma_1, \dots, \gamma_\ell - q\gamma_1, \gamma_1$ being the remainders of the $(i - 1)$ -st division of the algorithm applied to the numbers $\gamma_1, \gamma_2, \dots, \gamma_\ell$, it suffices to show that the integers $\nu_{q+1}, \nu_{q+2}, \dots, \nu_{N-1}, \nu$ are obtained by applying the algorithm to the integers $\gamma_i - q\gamma_1, \gamma_{i+1} - q\gamma_1, \dots, \gamma_\ell - q\gamma_1, \gamma_1$. Now $\gamma_i - q\gamma_1$ being smaller than γ_1 , this was assumed done. Conversely, if the numbers $\nu_1, \nu_2, \dots, \nu_{N-1}, \nu$ are obtained from $\gamma_1, \gamma_2, \dots, \gamma_\ell$ by the quasi-Jacobian algorithm of Du Val, the canonical closure of the semigroup of linear combinations of $\gamma_1, \gamma_2, \dots, \gamma_\ell$ where coefficients are non-negative integers is (p 267)

$$\{0, \nu_1, \nu_1 + \nu_2, \dots, \nu_1 + \nu_2 + \dots + \nu_{N-1} + \mathbb{N}\nu\},$$

which follows from the proposition we have just proved. □

$\chi_1, \chi_2, \dots, \chi_h$ being the characters of g , the semigroup of linear combinations of $\chi_1, \chi_2, \dots, \chi_h$ with coefficients being non-negative integers is the characteristic sub semigroup g_χ of g . It follows from theorems 3 and 2 that the integers $\nu_1, \nu_2, \dots, \nu_{N-1}$ are obtained from the characters of g by the quasi-Jacobian algorithm of Du Val, and all the systems of non-negative integers $\gamma_1, \gamma_2, \dots, \gamma_\ell$ for which the algorithm produces the same result are obtained from the system of characters of g by adjoining to it numbers arbitrarily chosen from *g .

Section 6:

Now let us consider a ring H and its canonical closure *H . The ring H being of the form

$$H = k + kS_{i_1} + kS_{i_2} + \dots + k[T]S_{i_h}$$

its canonical closure *H can be constructed as follows: Denote by H_1 the ring

$$[I_{i_1}] = \sum k \left(\frac{S_{i_2}}{S_{i_1}} \right)^{\alpha_2} \left(\frac{S_{i_3}}{S_{i_1}} \right)^{\alpha_3} \dots \left(\frac{S_{i_{h-1}}}{S_{i_1}} \right)^{\alpha_{h-1}} + k[T] \frac{S_{i_h}}{S_{i_1}},$$

where the summation is over all exponent systems of non-negative integers $\alpha_2, \alpha_3, \dots, \alpha_{h-1}$ such that $\alpha_2(i_2 - i_1) + \alpha_3(i_3 - i_1) + \dots + \alpha_{h-1}(i_{h-1} - i_1)$ is less than $i_h - i_1$. The canonical closure *H of H clearly contains

$$k + H_1 S_{i_1}$$

which contains H and we have ${}^*H = k + {}^*H_1 S_{i_1}$, where we denoted by *H_1 the canonical closure of H_1 . In general, H_i being defined, denote by H_{i+1} the ring obtained from H_i in the same way H_1 is obtained from H . It is clear that for N sufficiently large, H_N is isomorphic to $K[T]$. Let T_{i+1} be an element of positive order in H_i . Then we obviously have

$$\begin{aligned} {}^*H &= k + kT_1 + {}^*H_2 T_1 T_2 && \text{(with } T = S_i) \\ &= k + kT_1 + kT_1 T_2 + {}^*H_3 T_1 T_2 T_3 \\ &\dots\dots\dots \\ &= k + kT_1 + kT_1 T_2 + \dots + kT_1 T_2 \dots T_{N-1} + {}^*H_N T_1 T_2 \dots T_{N-1} T_N \\ &= k + kT_1 + kT_1 T_2 + \dots + kT_1 T_2 \dots T_{N-1} + k[T] T_1 T_2 \dots T_{N-1} T_N. \end{aligned}$$

Remark. For any integer n , the ring $k + H_1 S_{i_1} \pmod{t^n}$ depends only on $H \pmod{t^n}$. To prove this it suffices to show that $H_1 \pmod{t^{n-i_1}}$ depends only on $H \pmod{t^n}$. Similarly the ring $k + H_2 T_2 \pmod{t^{n-i_2}}$ depends only on $H_1 \pmod{t^{n-i_1}}$. The ring $k + kT_1 + H_2 T_1 T_2 \pmod{t^n}$ then depends only on $H \pmod{t^n}$. Continuing in this manner we obtain eventually that

$${}^*H = k + kT_1 + kT_1 T_2 + \cdots + kT_1 T_2 \cdots T_{N-1} + H_N T_1 T_2 \cdots T_N \pmod{t^n}$$

depends only on $H \pmod{t^n}$.

Lemma 5. *If $H \pmod{t^n}$ is equal to ${}^*H \pmod{t^n}$, then the set ${}^*H \pmod{t^{n+1}}$ is equal to one of the sets*

$$k + kS_{i_1} + kS_{i_2} + \cdots + kS_{i_{\ell-1}} + [I_{i_\ell}]S_{i_\ell} \pmod{t^{n+1}} \quad (i_\ell < n + 1).$$

Proof. The set ${}^*H \pmod{t^n}$ being the same as $H \pmod{t^n}$, the set ${}^*H \pmod{t^{n+1}}$, which contains the set $H \pmod{t^{n+1}}$, consists of the elements of the form

$$S + \alpha {}^*S_n \pmod{t^{n+1}}$$

where S is an element of H , *S_n a fixed element of order n belonging to *H , and α an element of k . Hence every ring $H' \pmod{t^{n+1}}$, contained in the ring $H \pmod{t^{n+1}}$ is identical to $H \pmod{t^{n+1}}$, if it is contained in ${}^*H \pmod{t^{n+1}}$ without it being identical. Consider now the ring

$$k + S_{i_1}[I_{i_1}] \pmod{t^{n+1}}$$

which contains $H \pmod{t^{n+1}}$ and which is contained in ${}^*H \pmod{t^{n+1}}$. After what we have just noted, the ring $k + S_{i_1}[I_{i_1}] \pmod{t^{n+1}}$ is identical to one of the two rings

$${}^*H \pmod{t^{n+1}}, \quad H \pmod{t^{n+1}}.$$

If it is not identical to the first, we have $[I_{i_1}] = I_{i_1}/S_{i_1} \pmod{t^{n+1-i_1}}$. As ${}^*[I_{i_1}] \pmod{t^{n+1-i_1}}$ depends only on $[I_{i_1}] \pmod{t^{n+1-i_1}}$, the sets

$${}^*[I_{i_1}] \pmod{t^{n+1-i_1}}, \quad k + \frac{S_{i_2}}{S_{i_1}} {}^*[I_{i_2}] \pmod{t^{n+1-i_1}}$$

will be identical, since I_{i_2}/S_{i_1} is the set of elements of positive order in I_{i_1}/S_{i_1} . It follows that ${}^*H \pmod{t^{n+1}}$ is identical to one of the rings

$$k + S_{i_1}[I_{i_1}] \pmod{t^{n+1}}, \quad k + kS_{i_1} + {}^*[I_{i_2}]S_{i_2} \pmod{t^{n+1}}.$$

If ${}^*H \pmod{t^{n+1}}$ is neither identical to $k + S_{i_1}[I_{i_1}] \pmod{t^{n+1}}$ nor to

$$k + kS_{i_1} + {}^*[I_{i_2}]S_{i_2} \pmod{t^{n+1}}$$

these two rings are identical to $H \pmod{t^{n+1}}$. Under these conditions we have $[I_{i_2}] \equiv I_{i_2}/S_{i_2} \pmod{t^{n+1-i_2}}$, from which we can conclude the identity of the two sets

$${}^*[I_{i_2}] \pmod{t^{n+1-i_2}}, \quad k + {}^*[I_{i_2}] \frac{S_{i_2}}{S_{i_1}} \pmod{t^{n+1-i_2}}.$$

${}^*H \pmod{t^{n+1}}$ is then identical to one of the sets

(p 269)

$$\begin{aligned} k + [I_{i_1}]S_{i_1} \pmod{t^{n+1}}, \quad k + kS_{i_1} + [I_{i_2}]S_{i_2} \pmod{t^{n+1}}, \\ k + kS_{i_1} + kS_{i_2} + {}^*[I_{i_3}]S_{i_3} \pmod{t^{n+1}}. \end{aligned}$$

Continuing in this manner we can show that ${}^*H \pmod{t^{n+1}}$ is identical to one of the sets

$$\begin{aligned} k + [I_{i_1}]S_{i_1} & \pmod{t^{n+1}} \\ k + kS_{i_1} + [I_{i_2}]S_{i_2} & \pmod{t^{n+1}} \\ \dots\dots\dots & \\ k + kS_{i_1} + kS_{i_2} + \dots + [I_{i_\ell}]S_{i_\ell} & \pmod{t^{n+1}} \\ k + kS_{i_1} + kS_{i_2} + \dots + kS_{i_\ell} + {}^*[I_{i_{\ell+1}}]S_{i_{\ell+1}} & \pmod{t^{n+1}}. \end{aligned}$$

Now for $i_{\ell+1} \geq n + 1$, the last one of these sets is $H \pmod{t^{n+1}}$. Then ${}^*H \pmod{t^{n+1}}$ is identical to one of the sets

$$k + kS_{i_1} + kS_{i_2} + \dots + [I_{i_\ell}]S_{i_\ell} \pmod{t^{n+1}}$$

for $i_\ell \leq n$. □

X_1, X_2, \dots, X_n being power series in t with positive orders, we denote by $k[X_1, X_2, \dots, X_n]$ the ring formed by the series of the form

$$\sum \alpha_{j_1 j_2 \dots j_n} X_1^{j_1} X_2^{j_2} \dots X_n^{j_n}$$

where $\alpha_{j_1 j_2 \dots j_n} \in k$ and the summation is over all systems of non-negative integers j_1, j_2, \dots, j_n .

Lemma 6. *The elements Y_1, Y_2, \dots, Y_ν of $*H$ being chosen such that $w(Y_j)$ is the smallest element of $W(*H)$ which is not contained in $W(k[Y_1, Y_2, \dots, Y_{j-1}])$, if the elements $Y'_1, Y'_2, \dots, Y'_{\nu-1}$ are respectively congruent to $Y_1, Y_2, \dots, Y_{\nu-1} \pmod{t^{w(Y_\nu)}}$, then the smallest element of $W(*H)$ not contained in $W(k[Y'_1, Y'_2, \dots, Y'_{\nu-1}])$ is $w(Y_\nu)$.*

Proof. The rings

$$*H \pmod{t^{w(Y_\nu)}}, k[Y_1, Y_2, \dots, Y_{\nu-1}] \pmod{t^{w(Y_\nu)}}, k[Y'_1, \dots, Y'_{\nu-1}] \pmod{t^{w(Y_\nu)}}$$

being clearly identical, it suffices to show that $k[Y'_1, Y'_2, \dots, Y'_{\nu-1}]$ does not contain an element of order $w(Y_\nu)$. Every element of $k[Y'_1, Y'_2, \dots, Y'_{\nu-1}] \pmod{t^{w(Y_\nu)+1}}$ being of the form

$$P(Y'_1, Y'_2, \dots, Y'_{\nu-1}) \pmod{t^{w(Y_\nu)+1}}$$

where $P(Y'_1, Y'_2, \dots, Y'_{\nu-1})$ is a polynomial with coefficients in k , it suffices to show that $w(P(Y'_1, Y'_2, \dots, Y'_{\nu-1}))$ cannot be equal to $w(Y_\nu)$. If the polynomial $P(Y'_1, Y'_2, \dots, Y'_{\nu-1})$ contains a [nonzero]¹⁴ constant term, then $Y'_1, Y'_2, \dots, Y'_{\nu-1}$ being elements of positive order we have $w(P(Y'_1, Y'_2, \dots, Y'_{\nu-1})) = 0 \neq w(Y_\nu)$. If

$$P(Y'_1, Y'_2, \dots, Y'_{\nu-1})$$

contains terms of degree 1 without containing a [nonzero] constant term, then we (p 270) can write it in the form

$$P_1(Y'_1, Y'_2, \dots, Y'_{j-1}) + \beta Y'_j + P_2(Y'_1, Y'_2, \dots, Y'_{\nu-1})$$

with $\beta \neq 0$; $P_2(Y'_1, Y'_2, \dots, Y'_{\nu-1})$ being the sum of terms of positive degree with respect to the set $Y'_j, Y'_{j+1}, \dots, Y'_{\nu-1}$ except the term $\beta Y'_j$. $w(P_2(Y'_1, Y'_2, \dots, Y'_{\nu-1}))$ is then greater than $w(Y'_j)$ which is by definition different than the order of

$$P_1(Y'_1, Y'_2, \dots, Y'_{j-1}) \equiv P_1(Y_1, Y_2, \dots, Y_{j-1}) \pmod{t^{w(Y_\nu)}}.$$

We then have

$$w(P(Y'_1, Y'_2, \dots, Y'_{\nu-1})) = \min(w(Y'_j)), \quad w(P_1(Y'_1, Y'_2, \dots, Y'_{j-1})) < w(Y_\nu).$$

Finally if $P(Y'_1, Y'_2, \dots, Y'_{\nu-1})$ contains neither a term of degree 1 nor of degree 0, then we can write

$$P(Y'_1, Y'_2, \dots, Y'_{\nu-1}) \equiv P(Y_1, Y_2, \dots, Y_{\nu-1}) \pmod{t^{w(Y_\nu)+1}}.$$

$w(P(Y_1, Y_2, \dots, Y_{\nu-1}))$ being different than $w(Y_\nu)$, it is the same for

$$w(P(Y'_1, Y'_2, \dots, Y'_{\nu-1})).$$

□

Lemma 7. $Y_1, Y_2, \dots, Y_{\nu-1}, Y_\nu$ and $Y'_1, Y'_2, \dots, Y'_{\nu-1}$ having the same properties as in the statement of Lemma 6, if the canonical closure of $k[Y_1, Y_2, \dots, Y_{\nu-1}]$ does not contain an element of order $w(Y_\nu)$, then it is the same for the canonical closure of $k[Y'_1, Y'_2, \dots, Y'_{\nu-1}]$.

Proof. Let $i_0 = 0, i_1, i_2, \dots, i_\mu, \dots$ be the orders of the elements of $k[Y'_1, Y'_2, \dots, Y'_{\nu-1}]$ written in increasing order and let I'_{i_μ} be the set of elements of $k[Y'_1, Y'_2, \dots, Y'_{\nu-1}]$ whose orders are not smaller than i_μ . Denote by S'_{i_ℓ} an element of order i_ℓ of $k[Y'_1, Y'_2, \dots, Y'_{\nu-1}]$, and by \mathcal{H}' ¹⁵ the canonical closure of $k[Y'_1, Y'_2, \dots, Y'_{\nu-1}]$. The rings

$${}^*H \bmod t^{w(Y_\nu)}, \quad \mathcal{H}' \bmod t^{w(Y_\nu)}, \quad k[Y'_1, Y'_2, \dots, Y'_{\nu-1}] \bmod t^{w(Y_\nu)}$$

being identical, it follows from Lemma 5 that the ring $\mathcal{H}' \bmod t^{w(Y_\nu)+1}$ is identical to one of the rings

$$k + kS'_{i_1} + kS'_{i_2} + \dots + [I'_{i_\ell}]S'_{i_\ell} \bmod t^{w(Y_\nu)+1}$$

with $i_\ell < w(Y_\nu)$. Let μ be the smallest of these integers ℓ for which this identity holds. If $\mu = 0$, then $\mathcal{H}' \bmod t^{w(Y_\nu)+1}$ is identical to $k[Y'_1, Y'_2, \dots, Y'_{\nu-1}] \bmod t^{w(Y_\nu)+1}$ which does not contain an element of order $w(Y_\nu)$. Suppose then that μ is positive. To show that \mathcal{H}' does not contain an element of order $w(Y_\nu)$, it suffices to show that $[I'_{i_\mu}]$ does not contain an element of order $w(Y_\nu) - i_\mu$. Let I_{i_μ} and ${}^*I_{i_\mu}$ be the sets of elements of order not smaller than i_μ of $k[Y_1, \dots, Y_{\nu-1}]$ and *H . The rings

$${}^*H \bmod t^{w(Y_\nu)}, \quad k[Y_1, Y_2, \dots, Y_{\nu-1}] \bmod t^{w(Y_\nu)}, \quad k[Y'_1, Y'_2, \dots, Y'_{\nu-1}] \bmod t^{w(Y_\nu)}$$

being identical, it is the same for the sets

(p 271)

$$[{}^*I_{i_\mu}] \bmod t^{w(Y_\nu)-i_\mu}, \quad I_{i_\mu}/S_{i_\mu} \bmod t^{w(Y_\nu)-i_\mu}, \quad I'_{i_\mu}/S'_{i_\mu} \bmod t^{w(Y_\nu)-i_\mu},$$

where S_{i_μ} is an element of $k[Y_1, Y_2, \dots, Y_{\nu-1}]$, such that we have

$$S_{i_\mu} \equiv S'_{i_\mu} \pmod{t^{w(Y_\nu)}}.$$

It follows that we can associate to every element Z' of I'_{i_μ}/S'_{i_μ} an element Z of I_{i_μ}/S_{i_μ} in such a way that we have

$$Z = Z' \pmod{t^{w(Y_\nu)-i_\mu}}.$$

Let us consider in particular a set of elements $Z'_1, Z'_2, \dots, Z'_\rho$ of I'_{i_μ}/S'_{i_μ} chosen in the following way:

- (1) Z'_1 is an element of smallest positive order in I'_{i_μ}/S'_{i_μ} ,
- (2) $Z'_1, Z'_2, \dots, Z'_{j-1}$ being chosen, we choose Z'_j in such a way that $w(Z'_j)$ is the smallest positive element of $W(I'_{i_\mu}/S'_{i_\mu})$ which is not contained in $W(k[Z'_1, Z'_2, \dots, Z'_{j-1}])$,
- (3) $w(Z'_\rho) < w(Y_\nu) - i_\mu + 1$ and every element of $W(I'_{i_\mu}/S'_{i_\mu})$ smaller than $w(Y_\nu) - i_\mu + 1$ is contained in $W(k[Z'_1, Z'_2, \dots, Z'_\rho])$.

$k[Y'_1, Y'_2, \dots, Y'_{\nu-1}] \pmod{t^{w(Y_\nu)+1}}$ being distinct than $\mathcal{H}' \pmod{t^{w(Y_\nu)+1}}$ while $k[Y'_1, Y'_2, \dots, Y'_{\nu-1}] \pmod{t^{w(Y_\nu)+1}}$ is identical to $\mathcal{H}' \pmod{t^{w(Y_\nu)}}$, the ring $k[Y'_1, Y'_2, \dots, Y'_{\nu-1}]$ cannot contain elements of orders $w(Y_\nu)$. It follows that the numbers $w(Z'_1), w(Z'_2), \dots, w(Z'_\rho)$ are smaller than $w(Y_\nu) - i_\mu$. The conditions imposed on the choice of $Z'_1, Z'_2, \dots, Z'_\rho$ implies further the identity of the rings

$$[I'_{i_\mu}] \pmod{t^{w(Y_\nu)-i_\mu+1}}, \quad k[Z'_1, Z'_2, \dots, Z'_\rho] \pmod{t^{w(Y_\nu)-i_\mu+1}};$$

It suffices then to show that $k[Z'_1, Z'_2, \dots, Z'_\rho]$ does not contain an element of order $w(Y_\nu) - i_\mu$. Now let Z_1, Z_2, \dots, Z_ρ be elements of I_{i_μ}/S_{i_μ} such that we have

$$Z_j \equiv Z'_j \pmod{t^{w(Y_\nu)-i_\mu}} \quad (j = 1, 2, \dots, \rho).$$

The canonical closure of $k[Y_1, Y_2, \dots, Y_{\nu-1}]$ not containing any element of order $w(Y_\nu)$, the ring $k[Z_1, Z_2, \dots, Z_\rho]$ does not contain any element of order $w(Y_\nu) - i_\mu$. The elements $Z_1, Z_2, \dots, Z_\rho, Z_{\rho+1} = Y_\nu/S_{i_\mu}$ of $[*I_{i_\mu}]$ and $Z'_1, Z'_2, \dots, Z'_\rho$ fulfill the conditions of the statement of Lemma 6 with respect to the canonical ring $[*I_{i_\mu}]$. The ring $k[Z'_1, Z'_2, \dots, Z'_\rho]$ then cannot contain elements of order $w(Z_{\rho+1}) = w(Y_\nu) - i_\mu$. \square

Let us now consider a set of elements X_1, X_2, \dots, X_m of $*H$ chosen as follows: X_1 is an element of smallest positive order in $*H$; $X_1, X_2, \dots, X_{\ell-1}$ being chosen, X_ℓ is an element of $*H$ such that $w(X_\ell)$ is the smallest element of

$W(*H)$ which is not contained in $W(\mathcal{H}_{\ell-1})$, where $\mathcal{H}_{\ell-1}$ ¹⁶ is the canonical closure of $k[X_1, X_2, \dots, X_{\ell-1}]$. The elements of $W(*H)$ being linear combinations with non-negative integer coefficients of some finite number of elements, the elements $X_1, X_2, \dots, X_\ell, \dots$ chosen in this manner can only be finite. A set of such elements (X_1, X_2, \dots, X_m) will be called in what follows a *base* of $*H$. (p 272)

Theorem 4. (X_1, X_2, \dots, X_m) being a base of $*H$, the integers

$$w(X_1), w(X_2), \dots, w(X_m)$$

depend on H and they constitute a subset of the characters of H .

Let us first prove the following proposition which will facilitate the proof of this theorem.

Lemma 8. \mathcal{H}_ℓ being the canonical closure of $k[X_1, X_2, \dots, X_\ell]$ where X_1, X_2, \dots, X_m is a base of $*H$, one can choose the elements $Y_1, Y_2, \dots, Y_\nu, \dots$ of \mathcal{H}_ℓ satisfying the conditions of the statement of Lemma 6 considered for the ring \mathcal{H}_ℓ (i.e. $w(Y_j)$ is the smallest element of $w(\mathcal{H}_\ell)$ not contained in $W(k[Y_1, Y_2, \dots, Y_{j-1}])$) in such a manner that the sequence $Y_1, Y_2, \dots, Y_\nu, \dots$ contains the set X_1, X_2, \dots, X_ℓ .

Proof. For $\ell = 1$, we clearly have $\mathcal{H}_1 = k[X_1]$ and we can set $Y_1 = X_1$. Assume that the proposition is proved for ℓ and let us prove it for $\ell + 1$. Let Y_1, Y_2, \dots, Y_ν be the elements chosen from \mathcal{H}_ℓ whose orders are smaller than $w(X_{\ell+1})$. The elements of $W(\mathcal{H}_\ell)$ which are smaller than $w(X_{\ell+1})$ are then the same as those of $W(k[Y_1, Y_2, \dots, Y_\nu])$. The smallest element of $W(\mathcal{H}_{\ell+1})$ not contained in $W(\mathcal{H}_\ell)$ being $w(X_{\ell+1})$, set $Y_{\nu+1} = X_{\ell+1}$, and choose $Y_{\nu+2}, Y_{\nu+3}, \dots$ from $\mathcal{H}_{\ell+1}$ in accordance with the statement of Lemma 6 with respect to $\mathcal{H}_{\ell+1}$. The sequence

$$Y_1, Y_2, \dots, Y_\nu, Y_{\nu+1}, \dots$$

then satisfies for $\mathcal{H}_{\ell+1}$ the conditions of the statement of the proposition which we wanted to prove. □

Proof of Theorem 4. Let X_1, X_2, \dots, X_m and $X'_1, X'_2, \dots, X'_{m'}$ be two bases of $*H$. If the integers $w(X_1), w(X_2), \dots, w(X_m)$ and the integers $w(X'_1), w(X'_2), \dots, w(X'_{m'})$ are not the same, then at least one of the integers $(w(X_1), w(X_2), \dots, w(X_m), w(X'_1), w(X'_2), \dots, w(X'_{m'}))$ does not belong to one of the sets $(w(X_1),$

$w(X_2), \dots, w(X_m)), (w(X'_1), w(X'_2), \dots, w(X'_m))$. Let $w(X'_{\ell+1})$ be the smallest of these integers which do not belong to one of these sets, and consider the canonical closures $\mathcal{H}_\ell, \mathcal{H}'_\ell$ of the rings $k[X_1, X_2, \dots, X_\ell], k[X'_1, X'_2, \dots, X'_\ell]$. Because of the way X'_j, X_j are chosen, it follows that the rings $\mathcal{H}_\ell \bmod t^{w(X_{\ell+1})}, \mathcal{H}'_\ell \bmod t^{w(X'_{\ell+1})}$ are respectively identical to the rings ${}^*H \bmod t^{w(X_{\ell+1})}, {}^*H \bmod t^{w(X'_{\ell+1})}$. $w(X_{\ell+1})$ being by definition larger than $w(X'_{\ell+1})$, the ring \mathcal{H}_ℓ must contain an element of order $w(X'_{\ell+1})$. Now let $(Y_1, Y_2, \dots, Y_\nu, \dots)$ be a set of elements of \mathcal{H}_ℓ chosen in accordance with the statement of Lemma 8 and let Y_1, Y_2, \dots, Y_ν be those elements of this set whose orders are smaller than $w(X'_{\ell+1})$. The rings (p 273)

$$\begin{aligned} & {}^*H \bmod t^{w(X'_{\ell+1})}, \quad \mathcal{H}_\ell \bmod t^{w(X_{\ell+1})}, \quad \mathcal{H}'_\ell \bmod t^{w(X'_{\ell+1})} \\ & k[Y_1, Y_2, \dots, Y_\nu] \bmod t^{w(X'_{\ell+1})} \end{aligned}$$

being identical, there exist elements $Y'_1, Y'_2, \dots, Y'_\nu$ of \mathcal{H}'_ℓ such that

$$Y'_j = Y_j \bmod t^{w(X'_{\ell+1})} \quad (j = 1, 2, \dots, \nu).$$

The canonical closure of $k[Y'_1, Y'_2, \dots, Y'_\nu]$ which is contained in \mathcal{H}'_ℓ cannot contain any element of order $w(X'_{\ell+1})$. Therefore the canonical closure of $k[Y_1, Y_2, \dots, Y_\nu]$ which is none other than \mathcal{H}_ℓ (since the set (Y_1, Y_2, \dots, Y_ν) contains the set $(X_1, X_2, \dots, X_\ell)$) does not contain an element of order $w(X'_{\ell+1})$ (Lemma 7). Therefore $w(X_{\ell+1})$ is equal to $w(X'_{\ell+1})$ which contradicts the hypothesis.

That the numbers $w(X_1), w(X_2), \dots, w(X_n)$ constitutes a subset of the characters of *H is established as follows: $w(X_1)$ being the smallest element of $W({}^*H)$ we have $w(X_1) = \chi_1$. Assume that $w(X_\ell)$ is the smallest of the numbers $w(X_1), w(X_2), \dots, w(X_n)$ ¹⁷ which is not a character of *H . $w(X_\ell)$ would then be contained in the canonical closure of the semigroup generated by the elements of $W({}^*H)$ which are smaller than $w(X_\ell)$. Now the elements of $W({}^*H)$ which are smaller than $w(X_\ell)$ are contained in $W(\mathcal{H}_{\ell-1})$. We then have $w(X_\ell) \in W(\mathcal{H}_{\ell-1})$ which contradicts the choices of the X_j . \square

In what follows we will call the numbers

$$w(X_1) = {}^*\chi_1, w(X_2) = {}^*\chi_2, \dots, w(X_m) = {}^*\chi_m$$

the base characters of *H . It follows immediately from the definition of a base of *H and from Theorem 4 that every system of elements ${}^*X_1, {}^*X_2, \dots, {}^*X_m$ of *H

such that $w(*X_1) = *\chi_1, w(*X_2) = *\chi_2, \dots, w(*X_m) = *\chi_m$ constitutes a base of $*H$.

A set of elements Y_1, Y_2, \dots, Y_ν of H is called a *system of generators*, if $*H$ is the canonical closure of $k[Y_1 - \eta_1, Y_2 - \eta_2, \dots, Y_\nu - \eta_\nu]$ where $\eta_1, \eta_2, \dots, \eta_\nu$ denote the constant terms of Y_1, Y_2, \dots, Y_ν .

X_1, X_2, \dots, X_m being a base of $*H$, let us consider a set of elements Y_1, Y_2, \dots, Y_m chosen in the following manner:

$$\begin{array}{ll} Y_1 = X_1 + X'_1 & X'_1 \in k \\ Y_2 = X_2 + X'_2 & X'_2 \in \mathcal{H}_1 \\ \dots\dots\dots & \dots\dots \\ Y_m = X_m + X'_m & X'_m \in \mathcal{H}_{m-1} \end{array}$$

where \mathcal{H}_i denotes the canonical closure of $k[X_1, X_2, \dots, X_i]$; the elements Y_1, Y_2, \dots, Y_m clearly constitutes a system of generators for $*H$. Conversely every system of generators contains a subset chosen in this manner. In fact Y_1, Y_2, \dots, Y_ν being a system of generators for $*H$, denote by $\eta_1, \eta_2, \dots, \eta_\nu$ the constant terms of Y_1, Y_2, \dots, Y_ν . At least one of the integers $w(Y_1 - \eta_1), w(Y_2 - \eta_2), \dots, w(Y_\nu - \eta_\nu)$ is then equal to $*\chi_1$, let's say $w(Y_1 - \eta_1) = *\chi_1$. We can then set $X_1 = Y_1 - \eta_1$. Since $W(\mathcal{H}_1)$ contains all the elements of $W(*H)$ which are smaller than $*\chi_2$, we can choose $X'_i \in \mathcal{H}_1$ in such a way that we have (p 274)

$$w(Y_i - X'_i) \geq *\chi_2 \quad (i = 2, 3, \dots, \nu).$$

At least one of the integers $w(Y_i - X'_i)$ is equal to $*\chi_2$; otherwise the canonical closure of $k[X_1, Y_2 - X'_2, \dots, Y_\nu - X'_\nu]$ which is by definition is identical to $*H$ does not contain any element of order $*\chi_2$. Let $w(Y_2 - X'_2) = *\chi_2$. We can then set $X_2 = Y_2 - X'_2$ and so on. It follows from these considerations that every system of generators of $*H$ contains at least m elements, m being the number of the base characters of $*H$; we call this number *the dimension of $*H$* .

Section 7: $*H = k + kT_1 + kT_1T_2 + \dots + k[T]T_1T_2 \dots T_{N-1}$ being a canonical ring, the characters, as well as the base characters, for the rings

$$[I_{i_h}] = *H_h = k + kT_{h+1} + \dots + k[T]T_{h+1}T_{h+2} \dots T_{N-1}$$

are invariants of $*H$. The characters of $*H_h$ are clearly determined by those of $*H$. But it is not so for the base characters of $*H_h$.

Consider for example the rings

$$\left. \begin{aligned} {}^*H &= k + kt^{4\nu}(1+t) + kt^{6\nu}(1+t) + kt^{7\nu}(1+t) + k[t]t^{8\nu}, \\ {}^*H' &= k + kt^{4\nu} + kt^{6\nu}(1+t) + kt^{7\nu}(1+t) + k[t]t^{8\nu}. \end{aligned} \right\} \quad (\nu > 1)$$

It can easily be checked that these two rings are canonical and that their characters which are also those of the semigroup

$$W({}^*H) = W({}^*H') = \{0, 4\nu, 6\nu, 7\nu, 8\nu + 1, 8\nu + 2, 8\nu + 3, \dots\}$$

are the same. These characters are clearly $4\nu, 6\nu, 7\nu, 8\nu + 1$. Let us now construct a base for *H : We can clearly set $X_1 = t^{4\nu}(1+t)$; $k[X_1]$ is a canonical ring and the smallest element of $W({}^*H)$ not contained in $W(k[X_1])$ is 6ν ; we can then set $X_2 = t^{6\nu}(1+t)$. The canonical closure of $k[X_1, X_2]$ is

$$\overline{k[X_1, X_2]} = k + kt^{4\nu}(1+t) + kt^{6\nu}(1+t) + k[t]t^{8\nu}.$$

We can then choose $X_3 = t^{7\nu}(1+t)$ as the third element of the base of *H . The canonical closure of $k[X_1, X_2, X_3]$ then being equal to *H , the base characters of *H are $4\nu, 6\nu, 7\nu$. In a similar manner, we observe that the elements $X'_1 = t^{4\nu}$, $X'_2 = t^{6\nu}(1+t)$, $X'_3 = t^{7\nu}(1+t)$ constitutes a base for ${}^*H'$. The base characters of *H and ${}^*H'$ are then the same. Let us now calculate the base characters of the rings (p 275)

$$\begin{aligned} {}^*H_1 &= k + kt^{2\nu} + kt^{3\nu} + k[t]t^{4\nu}, \\ {}^*H'_1 &= k + kt^{2\nu}(1+t) + kt^{3\nu}(1+t) + k[t]t^{4\nu}. \end{aligned}$$

A base of *H_1 ¹⁸ is formed by $t^{2\nu}, t^{3\nu}, t^{4\nu+1}$, while the elements $t^{2\nu}(1+t), t^{3\nu}(1+t)$ form a base of ${}^*H'_1$, since the canonical closure of $k[t^{2\nu}(1+t), t^{3\nu}(1+t)]$ contains the element

$$t^{4\nu}(1+t)^2 - t^{2\nu}(1+t) \left(\frac{t^{3\nu}(1+t)}{t^{2\nu}(1+t)} \right)^2 = t^{4\nu+1}(1+t)$$

whose order is $4\nu + 1$. The base characters of *H_1 are then $2\nu, 3\nu, 4\nu + 1$ while those of ${}^*H'_1$ are $2\nu, 3\nu$.

*The base characters of the rings $[I_{i_h}] = {}^*H_h$ constitute then new invariant elements for *H .*

The following considerations allow us to determine successively the base characters of the *H_h . Consider an arbitrary element of positive order in *H . Let T be

this element and let (X_1, X_2, \dots, X_m) be a base of *H . Denote by ${}^*\chi_i$ the smallest of the numbers

$${}^*\chi_1 = w(X_1), {}^*\chi_2 = w(X_2), \dots, {}^*\chi_m = w(X_m), {}^*\chi_{m+1} = \infty^{19}$$

such that the canonical closure of $k[X_1, X_2, \dots, X_{i-1}, T]$ contains²⁰ an element of order ${}^*\chi_i$. The elements $T, TX_1, TX_2, \dots, TX_{i-1}, TX_{i+1}, \dots, TX_m$ constitute then a base of $k + {}^*HT$ which is canonical. In fact

$$\overline{k[X_1, X_2, \dots, X_{i-1}, X_{i+1}, \dots, X_m, T]}$$

being the canonical closure of $k[X_1, X_2, \dots, X_{i-1}, X_{i+1}, \dots, X_m, T]$, the canonical closure of $k[T, TX_1, \dots, TX_{i-1}, TX_{i+1}, \dots, TX_m]$ clearly contains the ring

$$k + T\overline{k[X_1, X_2, \dots, X_{i-1}, X_{i+1}, \dots, X_m, T]}.$$

As $\overline{k[X_1, X_2, \dots, X_{i-1}, X_{i+1}, \dots, X_m, T]}$ contains an element of order ${}^*\chi_i$, we have

$$\overline{k[X_1, X_2, \dots, X_{i-1}, X_{i+1}, \dots, X_m, T]} = {}^*H.$$

The canonical closure of $k[T, TX_1, \dots, TX_{i-1}, TX_{i+1}, \dots, TX_m]$ is then identical to

$$k + T{}^*H$$

which it contains; since the ring $k[T, TX_1, \dots, TX_{i-1}, TX_{i+1}, \dots, TX_m]$ is itself contained in $k + T{}^*H$. Then to show that the elements

$$T, TX_1, \dots, TX_{i-1}, TX_{i+1}, \dots, TX_m$$

constitute a base of $k + T{}^*H$, it suffices to show that the canonical closures of the (p 276) rings

$$k[T, TX_1, \dots, TX_j] \quad (1 \leq j < i - 1)$$

$$k[T, TX_1, \dots, TX_{i-1}]$$

$$k[T, TX_1, \dots, TX_{i-1}, TX_{i+1}, \dots, TX_h] \quad (n > h \geq i + 1)$$

do not contain elements of orders, respectively,

$$w(TX_{j+1}), w(TX_{i+1}), w(TX_{h+1}).$$

Now these closures are identical respectively to

$$\begin{aligned} & k + T\overline{k[X_1, X_2, \dots, X_j, T]} \\ & k + T\overline{k[X_1, X_2, \dots, X_{i-1}, T]} \\ & k + T\overline{k[X_1, X_2, \dots, X_{i-1}, X_{i+1}, \dots, X_h, T]}, \end{aligned}$$

where the overline symbol denote always the canonical closure of the corresponding ring. It then suffices to show that the canonical closures of the rings $k[X_1, \dots, X_j, T]$, $k[X_1, \dots, X_{i-1}, T]$, $k[X_1, \dots, X_{i-1}, X_{i+1}, \dots, X_h, T]$ do not contain elements of orders $w(X_{j+1})$, $w(X_{i+1})$, $w(X_{h+1})$, respectively. Now the fact that the canonical closure of $k[X_1, \dots, X_j, T]$ for $j < i - 1$ does not contain any element of order $w(X_{j+1})$ follows from the definition of i . If the ring

$$k[X_1, \dots, X_{i-1}, T]$$

contains an element of order $w(X_{i+1})$ or the ring

$$k[X_1, \dots, X_{i-1}, X_{i+1}, \dots, X_h, T]$$

an element of order $w(X_{h+1})$, the canonical closure of one of the rings

$$\begin{aligned} & k[X_1, X_2, \dots, X_{i-1}, X_{i+2}, \dots, X_m, T], \\ & k[X_1, X_2, \dots, X_{i-1}, X_{i+1}, \dots, X_h, X_{h+2}, \dots, X_m, T], \quad \text{for } h < m - 1, \\ & k[X_1, X_2, \dots, X_{i-1}, X_{i+1}, \dots, X_{m-1}, T], \quad \text{for } h = m - 1, \end{aligned}$$

contains a system of elements of orders ${}^*\chi_1, {}^*\chi_2, \dots, {}^*\chi_m$ and as a consequence a base of *H . This implies the existence of a system of generators of *H containing only $m - 1$ elements, contrary to what has been established above (see Section 6).

The base characters of $k + T{}^*H$ are then

$$w(T), w(T) + {}^*\chi_1, w(T) + {}^*\chi_2, \dots, w(T) + {}^*\chi_{i-1}, w(T) + {}^*\chi_{i+1}, \dots, w(T) + {}^*\chi_m.$$

As the base characters of $k + T{}^*H$ do not depend on the choice of the elements X_1, X_2, \dots, X_m , the numbers ${}^*\chi_i$ depend only on T and *H . We are going to denote them by ${}^*\chi_i = {}^*\chi(T, {}^*H)$.

In a similar manner the characters of $k + T{}^*H$ are obtained from those of *H (p 277) by the expressions

$$w(T), \chi_1 + w(T), \chi_2 + w(T), \dots, \chi_\ell + w(T), \text{ for } w(T) \neq \chi_1, \chi_2, \dots, \chi_\ell,$$

and

$w(T), \chi_1 + w(T), \dots, \chi_{j-1} + w(T), \chi_{j+1} + w(T), \dots, \chi_\ell + w(T)$, for $w(T) = \chi_j$,

where we denote the characters of *H by $\chi_1, \chi_2, \dots, \chi_\ell$.

In particular in the case when all the characters of *H are also its base characters, all the characters of $k+T$ *H are also its base characters if $w(T)$ is a character of *H or if $\chi(T, {}^*H)$ is infinite.

Remark. ρ being an arbitrary element of $W({}^*H)$, we can always choose an element T of order $w(T) = \rho$ of *H , in such a way that $\chi(T, {}^*H)$ is equal to one of the numbers ${}^*\chi_1, {}^*\chi_2, \dots, {}^*\chi_m, {}^*\chi_{m+1} = \infty$ which exceeds ρ , provided that ρ is different from the numbers ${}^*\chi_i$. Suppose in fact that ρ is distinct from the numbers ${}^*\chi_1 < {}^*\chi_2 < \dots < {}^*\chi_m$ and let ${}^*\chi_\ell$ be such that we have ${}^*\chi_\ell < \rho < {}^*\chi_{\ell+1}$. If X_1, X_2, \dots, X_m is a base of *H , the canonical closure of $k[X_1, X_2, \dots, X_\ell]$ contains, by definition elements of orders ρ . Let T' be one of these elements, and set $T = T' + X_h$ (with $h > \ell$, $X_{m+1} = 0$). For $\ell \leq j < h - 1$ the sets

$$\frac{\overline{k[X_1, X_2, \dots, X_j, T]} \pmod{t^{*\chi_h}}}{\overline{k[X_1, X_2, \dots, X_j]} \pmod{t^{*\chi_h}}}, \quad \frac{\overline{k[X_1, X_2, \dots, X_j, T']} \pmod{t^{*\chi_h}}}{\overline{k[X_1, X_2, \dots, X_j]} \pmod{t^{*\chi_h}}},$$

being identical, the ring $\overline{k[X_1, X_2, \dots, X_j, T]}$ does not have elements of order $w(X_{j+1}) = {}^*\chi_{j+1}$. For $j < \ell$, $\rho = w(T)$ being greater than ${}^*\chi_{j+1}$, the sets

$$\overline{k[X_1, X_2, \dots, X_j]} \pmod{t^{*\chi_{j+1}+1}}, \quad \overline{k[X_1, X_2, \dots, X_j, T]} \pmod{t^{*\chi_{j+1}+1}}$$

are identical and consequently $\overline{k[X_1, X_2, \dots, X_j, T]}$ does not contain elements of order $w(X_{j+1})$. However the ring

$$\overline{k[X_1, X_2, \dots, X_{h-1}, T]},$$

which contains the element T' , contains also the element $T - T' = X_h$. We then have $\chi(T, {}^*H) = {}^*\chi_h$.

Let us now consider a canonical semigroup

$${}^*G = {}^*G_0 = \{0, \nu_1, \nu_1 + \nu_2, \dots, \nu_1 + \nu_2 + \dots + \nu_{N-1} + \mathbb{N}\nu\} \quad (\nu_{N-1} \neq \nu).$$

The semigroup

$${}^*G_{N-1} = \mathbb{N}\nu$$

clearly has only one character which is $\chi_1^{(N-1)} = \nu$. The characters of

$${}^*G_{N-2} = \{0, \nu_{N-1} + \mathbb{N}\nu\}$$

are then, after the rule indicated above,

$$\chi_1^{(N-2)} = \nu_{N-1}, \quad \chi_2^{(N-2)} = \nu_{N-1} + \nu.$$

The characters of ${}^*G_{N-3}$ are obtained from the previous ones according to the (p 278) same rule:

$$\left. \begin{array}{l} \chi_1^{(N-3)} = \nu_{N-2}, \quad \chi_2^{(N-3)} = \nu_{N-2} + \nu_{N-1}, \\ \chi_3^{(N-3)} = \nu_{N-2} + \nu_{N-1} + \nu, \end{array} \right\} \text{ for } \nu_{N-2} > \nu_{N-1} + \nu,$$

$$\chi_1^{(N-3)} = \nu_{N-2}, \quad \chi_2^{(N-3)} = \nu_{N-2} + \nu_{N-1}, \quad \text{for } \nu_{N-2} = \nu_{N-1} + \nu$$

$$\chi_1^{(N-3)} = \nu_{N-2}, \quad \chi_2^{(N-3)} = \nu_{N-2} + \nu_{N-1} + \nu, \quad \text{for } \nu_{N-2} = \nu_{N-1}.$$

We obtain successively, by applying always the same rule, the characters

$$\chi_1^{(i)}, \chi_2^{(i)}, \dots, \chi_{\ell_i}^{(i)}$$

of all the semigroups ${}^*G_i = \{0, \nu_{i+1} + G_{i+1}\}$.

Now let

$$\begin{array}{lll} {}^*\ell_{N-1} = 1, & {}^*\chi_1^{(N-1)} = \nu; \\ {}^*\ell_{N-2} = 2, & {}^*\chi_1^{(N-2)} = \nu_{N-1}, & {}^*\chi_2^{(N-2)} = \nu_{N-1} + \nu; \end{array}$$

and in general

$$\begin{array}{l} {}^*\ell_{i-1} = {}^*\ell_i, \quad {}^*\chi_1^{(i-1)} = \nu_i, \quad {}^*\chi_2^{(i-1)} = \nu_i + {}^*\chi_1^{(i)}, \dots, {}^*\chi_{h_i}^{(i-1)} = \nu_i + {}^*\chi_{h_i}^{(i)}, \\ \quad {}^*\chi_{h_i+1}^{(i-1)} = \nu_i + {}^*\chi_{h_i+1}^{(i)}, \dots, {}^*\chi_{\ell_i-1}^{(i-1)} = \nu_i + {}^*\chi_{\ell_i}^{(i)}, \text{ for } h_i \leq \ell_i, \\ {}^*\ell_{i-1} = {}^*\ell_i + 1, \quad {}^*\chi_1^{(i-1)} = \nu_i, \quad {}^*\chi_2^{(i-1)} = \nu_i + {}^*\chi_1^{(i)}, \dots, {}^*\chi_h^{(i-1)} = \nu_i + {}^*\chi_{h-1}^{(i)}, \\ \quad {}^*\chi_{h+1}^{(i-1)} = \nu_i + {}^*\chi_h^{(i)}, \dots, {}^*\chi_{\ell_i-1}^{(i-1)} = \nu_i + {}^*\chi_{\ell_i}^{(i)}, \text{ for } h_i = \ell_i + 1, \end{array}$$

where h_i is any of the positive integers $h \leq \ell_i + 1$ for which we have $\nu_i < {}^*\chi_h^{(i)}$ with ${}^*\chi_{\ell_i+1}^{(i)} = \infty$, if $\nu_i \neq {}^*\chi_1^{(i)}, \dots, {}^*\chi_{\ell_i}^{(i)}$; if not ${}^*\chi_{h_i}^{(i)}$ is the one among ${}^*\chi_1^{(i)}, {}^*\chi_2^{(i)}, \dots, {}^*\chi_{\ell_i}^{(i)}$ which is equal to ν_i .

It follows immediately from the preceding remarks and the considerations before them that we can always choose the elements $T_i \in {}^*H_i$ in such a manner that the characters and the base characters of the rings

$$\begin{array}{ll}
 {}^*H_{N-1} = k[T], & w(T) = \nu, \\
 {}^*H_{N-2} = k + {}^*H_{N-1}T_{N-1}, & w(T_{N-1}) = \nu_{N-1} \\
 \dots\dots\dots & \dots\dots\dots \\
 {}^*H_{i-1} = k + {}^*H_iT_i, & w(T_i) = \nu_i, \\
 \dots\dots\dots & \dots\dots\dots \\
 {}^*H = {}^*H_0 = k + {}^*H_1T_1, & w(T_1) = \nu_1
 \end{array}$$

are respectively

The characters	The base characters
$\chi_1^{(N-1)}$;	${}^*\chi_1^{(N-1)}$;
$\chi_1^{(N-2)}, \chi_2^{(N-2)}$;	${}^*\chi_1^{(N-2)}, {}^*\chi_2^{(N-2)}$;
$\dots\dots\dots$	$\dots\dots\dots$
$\chi_1^{(i-1)}, \chi_2^{(i-1)}, \dots, \chi_{\ell_{i-1}}^{(i-1)}$;	${}^*\chi_1^{(i-1)}, {}^*\chi_2^{(i-1)}, \dots, {}^*\chi_{\ell_{i-1}}^{(i-1)}$;
$\dots\dots\dots$	$\dots\dots\dots$
$\chi_1^{(0)}, \chi_2^{(0)}, \dots, \chi_{\ell_0}^{(0)}$;	${}^*\chi_1^{(0)}, {}^*\chi_2^{(0)}, \dots, {}^*\chi_{\ell_0}^{(0)}$.

In particular the base characters of ${}^*H = {}^*H_0$ coincide with its characters if (p 279) and only if we choose ${}^*h_i = {}^*\ell_i + 1$ every time we had to make a choice; the dimension of *H will then be the greatest of the dimensions of the canonical rings having the same characters.

Theorem 5. *If the base characters*

$${}^*\chi_1^{(N-1)}, {}^*\chi_1^{(N-2)}, {}^*\chi_2^{(N-2)}; \dots; {}^*\chi_1^{(i-1)}, {}^*\chi_2^{(i-1)}, \dots, {}^*\chi_{\ell_{i-1}}^{(i-1)}, \dots; {}^*\chi_1^{(0)}, \dots, {}^*\chi_{\ell_0}^{(0)}$$

are constructed by setting

$${}^*\chi_{h_j}^{(j)} = \text{the smallest of the numbers } {}^*\chi_1^{(j)}, {}^*\chi_2^{(j)}, \dots, {}^*\chi_{\ell_j+1}^{(j)}$$

which are not less than ν_j ,

*the dimension of the ring corresponding to *H is the smallest possible among the dimensions of canonical rings having the same characters.*

Proof. Let

$$\dagger\chi_1^{(N-1)}, \dagger\chi_1^{(N-2)}, \dagger\chi_2^{(N-2)}, \dots, \dagger\chi_1^{(i-1)}, \dagger\chi_2^{(i-1)}, \dots, \dagger\chi_{\dagger\ell_{i-1}}^{(i-1)}, \dots$$

be another system of base characters, obtained from the same numbers ν_j . We have to show that we have $\dagger\ell_i \geq {}^*\ell_i$ ($i = N - 1, N - 2, \dots, 0$). ν being an arbitrary integer, denote by ${}^*\ell_i(\nu)$ the number of those

$${}^*\chi_1^{(i)}, {}^*\chi_2^{(i)}, \dots, {}^*\chi_{{}^*\ell_i}^{(i)}$$

which are not smaller than ν . Similarly let $\dagger\ell_i(\nu)$ be the number of those $\dagger\chi_1^{(i)}, \dagger\chi_2^{(i)}, \dots, \dagger\chi_{\dagger\ell_i}^{(i)}$ which are not smaller than ν . We will prove, at the same time, that we have

$$\dagger\ell_i(\nu) - {}^*\ell_i(\nu) \leq \dagger\ell_i - {}^*\ell_i.$$

The equalities

$$\begin{aligned} \dagger\ell_{N-1} &= {}^*\ell_{N-1} = 1, & \dagger\ell_{N-2} &= {}^*\ell_{N-2} = 2, \\ \dagger\ell_{N-1} - {}^*\ell_{N-1} &= \dagger\ell_{N-1}(\nu) - {}^*\ell_{N-1}(\nu) = 0, \\ \dagger\ell_{N-2} - {}^*\ell_{N-2} &= \dagger\ell_{N-2}(\nu) - {}^*\ell_{N-2}(\nu) = 0 \end{aligned}$$

being obvious, it suffices to conclude from

$$\dagger\ell_i \geq {}^*\ell_i, \quad \dagger\ell_i(\nu) - {}^*\ell_i(\nu) \leq \dagger\ell_i - {}^*\ell_i$$

the inequalities

$$\dagger\ell_{i-1} \geq {}^*\ell_{i-1}, \quad \dagger\ell_{i-1}(\nu) - {}^*\ell_{i-1}(\nu) \leq \dagger\ell_{i-1} - {}^*\ell_{i-1}.$$

We distinguish the following cases:

- (1) $\dagger\ell_i = {}^*\ell_i$, $\dagger\chi_{\dagger h_i}^{(i)}$ is finite;
- (2) $\dagger\ell_i \geq {}^*\ell_i$, $\dagger\chi_{\dagger h_i}^{(i)}$ is infinite, ${}^*\chi_{{}^* h_i}^{(i)}$ is finite;
- (3) $\dagger\ell_i \geq {}^*\ell_i$, $\dagger\chi_{\dagger h_i}^{(i)}$ is infinite, ${}^*\chi_{{}^* h_i}^{(i)}$ is infinite;
- (4) $\dagger\ell_i > {}^*\ell_i$, $\dagger\chi_{\dagger h_i}^{(i)}$ is finite, ${}^*\chi_{{}^* h_i}^{(i)}$ is infinite;
- (5) $\dagger\ell_i > {}^*\ell_i$, $\dagger\chi_{\dagger h_i}^{(i)}$ is finite, ${}^*\chi_{{}^* h_i}^{(i)}$ is finite.;

(1) $\dagger\chi_{\dagger h_i}^{(i)}$ being finite, $\dagger\ell_i(\nu_i)$ is not zero. $\dagger\ell_i(\nu_i) - {}^*\ell_i(\nu_i)$ being less than or equal (p 280)

to $\dagger\ell_i - {}^*\ell_i = 0$ the number ${}^*\ell_i(\nu_i)$ is not zero. Then ${}^*\chi_{*h_i}^{(i)}$ is finite. It follows that we have

$$\dagger\ell_{i-1} = \dagger\ell_i = {}^*\ell_i = {}^*\ell_{i-1}.$$

Let us show that we still have

$$\dagger\ell_{i-1}(\nu) - {}^*\ell_{i-1}(\nu) \leq \dagger\ell_{i-1} - {}^*\ell_{i-1} (= 0)$$

for all ν . According to the recursive formulas

$$\begin{aligned} \dagger\chi_1^{(i-1)} &= \nu_i, \quad \dagger\chi_2^{(i-1)} = \nu_i + \dagger\chi_1^{(i)}, \dots, \dagger\chi_{\dagger h_i}^{(i-1)} = \nu_i + \dagger\chi_{\dagger h_i - 1}^{(i)}, \\ \dagger\chi_{\dagger h_i + 1}^{(i-1)} &= \nu_i + \dagger\chi_{\dagger h_i + 1}^{(i)}, \dots, \dagger\chi_{\dagger \ell_{i-1}}^{(i-1)} = \nu_i + \dagger\chi_{\dagger \ell_{i-1}}^{(i)}, \\ {}^*\chi_1^{(i-1)} &= \nu_i, \quad {}^*\chi_2^{(i-1)} = \nu_i + {}^*\chi_1^{(i)}, \dots, {}^*\chi_{*h_i}^{(i-1)} = \nu_i + {}^*\chi_{*h_i - 1}^{(i)}, \\ {}^*\chi_{*h_i + 1}^{(i-1)} &= \nu_i + {}^*\chi_{*h_i + 1}^{(i)}, \dots, {}^*\chi_{*\ell_{i-1}}^{(i-1)} = \nu_i + {}^*\chi_{*\ell_{i-1}}^{(i)}. \end{aligned}$$

it is clear that we have

$$\begin{aligned} \dagger\ell_{i-1}(\nu) &= \dagger\ell_i, & \text{for } \nu &\leq \nu_i, \\ \dagger\ell_{i-1}(\nu) &= \dagger\ell_i(\nu - \nu_i) - 1, & \text{for } \nu_i < \nu &\leq \nu_i + \dagger\chi_{\dagger h_i}^{(i)}, \\ \dagger\ell_{i-1}(\nu) &= \dagger\ell_i(\nu - \nu_i), & \text{for } \nu_i + \dagger\chi_{\dagger h_i}^{(i)} &< \nu, \\ {}^*\ell_{i-1}(\nu) &= {}^*\ell_i, & \text{for } \nu &\leq \nu_i, \\ {}^*\ell_{i-1}(\nu) &= {}^*\ell_i(\nu - \nu_i) - 1, & \text{for } \nu_i < \nu &\leq \nu_i + {}^*\chi_{*h_i}^{(i)}, \\ {}^*\ell_{i-1}(\nu) &= {}^*\ell_i(\nu - \nu_i), & \text{for } \nu_i + {}^*\chi_{*h_i}^{(i)} &< \nu. \end{aligned}$$

It follows that, for

$$\nu \leq \nu_i + \min(\dagger\chi_{\dagger h_i}^{(i)}, {}^*\chi_{*h_i}^{(i)}) \quad \text{and for } \nu > \nu_i + \max(\dagger\chi_{\dagger h_i}^{(i)}, {}^*\chi_{*h_i}^{(i)}),$$

we have

$$\dagger\ell_{i-1}(\nu) - {}^*\ell_{i-1}(\nu) = \dagger\ell_i(\nu - \nu_i) - {}^*\ell_i(\nu - \nu_i) \leq 0.$$

If ${}^*\chi_{*h_i}^{(i)} < \dagger\chi_{\dagger h_i}^{(i)}$, we have $\min({}^*\chi_{*h_i}^{(i)}, \dagger\chi_{\dagger h_i}^{(i)}) = {}^*\chi_{*h_i}^{(i)}$, $\max(\dagger\chi_{\dagger h_i}^{(i)}, {}^*\chi_{*h_i}^{(i)}) = \dagger\chi_{\dagger h_i}^{(i)}$ and

$$\begin{aligned} \dagger\ell_{i-1}(\nu) - {}^*\ell_{i-1}(\nu) &= \dagger\ell_i(\nu - \nu_i) - {}^*\ell_i(\nu - \nu_i) - 1 < 0 \\ &\quad (\text{for } \nu_i + {}^*\chi_{*h_i}^{(i)} < \nu \leq \nu_i + \dagger\chi_{\dagger h_i}^{(i)}). \end{aligned}$$

If $\dagger\chi_{\dagger h_i}^{(i)} < {}^*\chi_{*h_i}^{(i)}$, ν_i being less than or equal to $\dagger\chi_{\dagger h_i}^{(i)}$, there is no number ${}^*\chi_j^{(i)}$ between $\dagger\chi_{\dagger h_i}^{(i)}$ and ${}^*\chi_{*h_i}^{(i)}$. We then have for $\nu_i + \dagger\chi_{\dagger h_i}^{(i)} < \nu \leq \nu_i + {}^*\chi_{*h_i}^{(i)}$

$$\begin{aligned}\dagger\ell_{i-1}(\nu) - {}^*\ell_{i-1}(\nu) &= \dagger\ell_i(\nu - \nu_i) - {}^*\ell_i(\nu - \nu_i) + 1 \\ &= \dagger\ell_i(\nu - \nu_i) - {}^*\ell_i(\dagger\chi_{\dagger h_i}^{(i)}) + 1 \\ &< \dagger\ell_i(\dagger\chi_{\dagger h_i}^{(i)}) - {}^*\ell_i(\dagger\chi_{\dagger h_i}^{(i)}) + 1 \leq 1.\end{aligned}$$

(2) $\dagger\ell_i \geq {}^*\ell_i$, $\dagger\chi_{\dagger h_i}^{(i)}$ is infinite, ${}^*\chi_{*h_i}^{(i)}$ is finite. In this case we obviously have $\dagger\ell_{i-1} = \dagger\ell_i + 1$, ${}^*\ell_{i-1} = {}^*\ell_i$, and therefore $\dagger\ell_{i-1} > {}^*\ell_{i-1}$. The recurrence formulas (p 281) which provide the numbers $\dagger\chi_j^{(i-1)}$ and ${}^*\chi_j^{(i-1)}$ leads to others where we have

$$\begin{aligned}\dagger\ell_{i-1}(\nu) &= \dagger\ell_i + 1, & \text{for } \nu \leq \nu_i, \\ \dagger\ell_{i-1}(\nu) &= \dagger\ell_i(\nu - \nu_i), & \text{for } \nu_i < \nu, \\ {}^*\ell_{i-1}(\nu) &= {}^*\ell_i, & \text{for } \nu \leq \nu_i, \\ {}^*\ell_{i-1}(\nu) &= {}^*\ell_i(\nu - \nu_i) - 1, & \text{for } \nu_i < \nu \leq \nu_i + {}^*\chi_{*h_i}^{(i)}, \\ {}^*\ell_{i-1}(\nu) &= {}^*\ell_i(\nu - \nu_i), & \text{for } \nu_i + {}^*\chi_{*h_i}^{(i)} < \nu,\end{aligned}$$

from which we easily obtain the inequality

$$\dagger\ell_{i-1}(\nu) - {}^*\ell_{i-1}(\nu) \leq \dagger\ell_{i-1} - {}^*\ell_{i-1} \leq 1.$$

(3) For $\dagger\ell_i \geq {}^*\ell_i$, $\dagger\chi_{\dagger h_i}^{(i)}$ infinite, ${}^*\chi_{*h_i}^{(i)}$ infinite, it is clear that we have $\dagger\ell_{i-1} = \dagger\ell_i + 1$, ${}^*\ell_{i-1} = {}^*\ell_i + 1$ and hence $\dagger\ell_{i-1} \geq {}^*\ell_{i-1}$. The recurrence formulas which give the numbers $\dagger\chi_j^{(i-1)}$, ${}^*\chi_j^{(i-1)}$ produce on the other hand

$$\begin{aligned}\dagger\ell_{i-1}(\nu) &= \dagger\ell_i + 1, & {}^*\ell_{i-1}(\nu) &= {}^*\ell_i + 1, & \text{for } \nu \leq \nu_i, \\ \dagger\ell_{i-1}(\nu) &= \dagger\ell_i(\nu - \nu_i), & {}^*\ell_{i-1}(\nu) &= {}^*\ell_i(\nu - \nu_i), & \text{for } \nu_i < \nu,\end{aligned}$$

from which we get

$$\dagger\ell_{i-1}(\nu) - {}^*\ell_{i-1}(\nu) \leq \dagger\ell_{i-1} - {}^*\ell_{i-1}.$$

(4) $\dagger\ell_i > {}^*\ell_i$, $\dagger\chi_{\dagger h_i}^{(i)}$ finite, ${}^*\chi_{*h_i}^{(i)}$ infinite. We then have

$$\dagger\ell_{i-1} = \dagger\ell_i, \quad {}^*\ell_{i-1} = {}^*\ell_i + 1,$$

$$\begin{aligned}
\dagger\ell_{i-1}(\nu) &= \dagger\ell_i, & *l_{i-1}(\nu) &= *l_i + 1, & \text{for } \nu &\leq \nu_i, \\
\dagger\ell_{i-1}(\nu) &= \dagger\ell_i(\nu - \nu_i) - 1, & *l_{i-1}(\nu) &= *l_i(\nu - \nu_i), & \text{for } \nu_i < \nu &\leq \nu_1 + \dagger\chi_{\dagger h_i}^{(i)}, \\
\dagger\ell_{i-1}(\nu) &= \dagger\ell_i(\nu - \nu_i), & *l_{i-1}(\nu) &= *l_i(\nu - \nu_i), & \text{for } \nu_i + \dagger\chi_{\dagger h_i}^{(i)} < \nu,
\end{aligned}$$

and hence

$$\begin{aligned}
\dagger\ell_{i-1} &\geq *l_{i-1}, \\
\dagger\ell_{i-1}(\nu) - *l_{i-1}(\nu) &= \dagger\ell_{i-1} - *l_{i-1}, & \text{for } \nu &\leq \nu_i, \\
\dagger\ell_{i-1}(\nu) - *l_{i-1}(\nu) &= \dagger\ell_i(\nu - \nu_i) - *l_i(\nu - \nu_i) - 1, & \text{for } \nu_i < \nu &\leq \nu_1 + \dagger\chi_{\dagger h_i}^{(i)}, \\
&\leq \dagger\ell_{i-1} - *l_{i-1},
\end{aligned}$$

$\dagger\chi_{\dagger h_i}^{(i)}$ being finite but greater than or equal to ν_i while $*\chi_{*h_i}^{(i)}$ is finite, we have

$$*l_{i-1}(\nu) = *l_i(\nu - \nu_i) = 0, \quad \text{for } \nu \geq \nu_i + \dagger\chi_{\dagger h_i}^{(i)},$$

and hence

$$\begin{aligned}
\dagger\ell_{i-1}(\nu) - *l_{i-1}(\nu) &= \dagger\ell_{i-1}(\nu) \leq \dagger\ell_{i-1}(\nu_i + \dagger\chi_{\dagger h_i}^{(i)}), & \text{for } \nu_i + \dagger\chi_{\dagger h_i}^{(i)} < \nu, \\
&\leq \dagger\ell_{i-1}(\nu_i + \dagger\chi_{\dagger h_i}^{(i)}) - *l_{i-1}(\nu_i + \dagger\chi_{\dagger h_i}^{(i)}) \\
&\leq \dagger\ell_{i-1} - *l_{i-1}.
\end{aligned}$$

(5) $\dagger\ell_i > *l_i$, $\dagger\chi_{\dagger h_i}^{(i)}$ is finite, $\dagger\chi_{*h_i}^{(i)}$ is finite. In this case the inequalities (p 282)

$$\dagger\ell_{i-1} \geq *l_i, \quad \dagger\ell_{i-1}(\nu) - *l_{i-1}(\nu) \leq \dagger\ell_{i-1} - *l_{i-1}$$

are obtained from $\dagger\ell_i \geq *l_{i-1}$, $\dagger\ell_i(\nu) - *l_i(\nu) < \dagger\ell_i - *l_i$ in exactly the same manner as in the case (1). □

ℓ_0 being the number of characters of

$$*G = \{0, \nu_1, \nu_1 + \nu_2, \dots, \nu_1 + \nu_2 + \dots + \nu_{N-1} + \mathbb{N}\nu\},$$

$*\ell_0$ the number of base characters $*\chi_1^{(0)}, *\chi_2^{(0)}, \dots$ obtained from $*G$ in accordance with the statement of Theorem 5, we will see that the number of base characters of a canonical ring $\dagger H$, such that $W(\dagger H) = *G$, is between $*\ell_0$ and ℓ_0 . Conversely one has

Theorem 6. *n* being any integer between ${}^*\ell_0$ and ℓ_0 , there exists a canonical ring of dimension *n* whose characters are those of *G .

Proof. It suffices to show the existence of a canonical ring of dimension *n* from the existence of a canonical ring of dimension *n* – 1. Suppose then there exists a system of base characters

$$\dagger\chi_1^{(N-1)}; \dagger\chi_1^{(N-2)}, \dagger\chi_2^{(N-2)}; \dots; \dagger\chi_1^{(0)}, \dagger\chi_2^{(0)}, \dots, \dagger\chi_{\dagger\ell_0}^{(0)}$$

obtained from *G following the rules mentioned before and that we have $\dagger\ell_0 = n - 1$. The number $\dagger\ell_0$ being smaller than ℓ_0 , there exist integers *i* for which $\dagger\chi_{\dagger h_i}^{(i)}$ is finite without being equal to ν_i ; let μ be the smallest of these integers. We can assume that the system of base characters

$$\dagger\chi_1^{(N-1)}; \dagger\chi_1^{(N-2)}, \dagger\chi_2^{(N-2)}; \dots; \dagger\chi_1^{(0)}, \dots, \dagger\chi_{\dagger\ell_0}^{(0)}$$

has been chosen among the systems which satisfy the same conditions, in such a way that μ is largest possible. This being the case, let

$$\begin{aligned} \dagger\ell'_{N-1} = \dagger\ell_{N-1} = 1, & \quad \dagger\chi_1'^{(N-1)} = \dagger\chi_1^{(N-1)}, \\ \dagger\ell'_{N-2} = \dagger\ell_{N-2} = 2, & \quad \dagger\chi_1'^{(N-2)} = \dagger\chi_1^{(N-2)}, \quad \dagger\chi_2'^{(N-2)} = \dagger\chi_2^{(N-2)}, \\ \dots\dots\dots & \quad \dots\dots\dots \\ \dagger\ell'_\mu = \dagger\ell_\mu, & \quad \dagger\chi_1'^{(\mu)} = \dagger\chi_1^{(\mu)}, \quad \dagger\chi_2'^{(\mu)} = \dagger\chi_2^{(\mu)}, \dots, \dagger\chi_{\dagger\ell'_\mu}'^{(\mu)} = \dagger\chi_{\dagger\ell'_\mu}^{(\mu)}, \\ \dagger\ell'_{\mu-1} = \dagger\ell_{\mu-1} + 1, & \quad \dagger\chi_1'^{(\mu-1)} = \dagger\chi_1^{(\mu-1)}, \quad \dagger\chi_2'^{(\mu-1)} = \dagger\chi_2^{(\mu-1)}, \\ & \quad \dots, \dagger\chi_{\dagger h_\mu}'^{(\mu-1)} = \dagger\chi_{\dagger h_\mu}^{(\mu-1)}, \\ & \quad \dagger\chi_{\dagger h_{\mu+1}}'^{(\mu-1)} = \nu_\mu + \dagger\chi_{\dagger h_\mu}^{(\mu)}, \quad \dagger\chi_{\dagger h_{\mu+2}}'^{(\mu-1)} = \dagger\chi_{\dagger h_{\mu+1}}^{(\mu-1)} \dots \end{aligned}$$

with $\dagger\chi_{\dagger h_\mu}'^{(\mu)} = \infty$. The collection $\dagger\chi_1'^{(\mu-1)}, \dagger\chi_2'^{(\mu-1)}, \dots, \dagger\chi_{\dagger\ell'_{\mu-1}}'^{(\mu-1)}$ is clearly equal to the collection $\dagger\chi_1^{(\mu-1)}, \dagger\chi_2^{(\mu-1)}, \dots, \dagger\chi_{\dagger\ell_{\mu-1}}^{(\mu-1)}$ and the number $\dagger\chi_{\dagger h_{\mu+1}}'^{(\mu-1)} = \nu_\mu + \dagger\chi_{\dagger h_\mu}^{(\mu)}$. The number $\nu_{\mu-1}$ cannot be equal to $\dagger\chi_{\dagger h_{\mu+1}}'^{(\mu-1)}$. Because otherwise we would have $\dagger\chi_{\dagger h_{\mu-1}}^{(\mu-1)} = \infty$, $\dagger\chi_{\dagger h_{\mu-1}}'^{(\mu-1)} = \dagger\chi_{\dagger h_{\mu+1}}'^{(\mu-1)}$ and the corresponding system

$$\begin{aligned} \dagger\chi_1'^{(\mu-2)} = \nu_{\mu-1}, & \quad \dagger\chi_2'^{(\mu-2)} = \dagger\chi_1'^{(\mu-1)} + \nu_{\mu-1}, \dots \\ \dagger\chi_{\dagger h_{\mu+1}}'^{(\mu-2)} = \dagger\chi_{\dagger h_\mu}'^{(\mu-1)} + \nu_{\mu-1}, & \quad \dagger\chi_{\dagger h_{\mu+2}}'^{(\mu-2)} = \dagger\chi_{\dagger h_{\mu+2}}'^{(\mu-1)} + \nu_{\mu-1}, \dots \end{aligned}$$

will be composed of the same numbers as the system

(p 283)

$$\begin{aligned} \dagger\chi_1^{(\mu-2)} &= \nu_{\mu-1}, \quad \dagger\chi_2^{(\mu-2)} = \dagger\chi_1^{(\mu-1)} + \nu_{\mu-1}, \dots, \\ \dagger\chi_{\dagger h_{\mu+1}}^{(\mu-2)} &= \dagger\chi_{\dagger h_{\mu}}^{(\mu-1)} + \nu_{\mu-1}, \quad \dagger\chi_{\dagger h_{\mu+2}}^{(\mu-2)} = \dagger\chi_{\dagger h_{\mu+1}}^{(\mu-1)} + \nu_{\mu-1}, \dots \end{aligned}$$

This then allows us to construct, by letting

$$\begin{aligned} \dagger\chi_1^{(\mu-3)} &= \dagger\chi_1^{(\mu-3)}, \dots, \dagger\chi_{\dagger \ell_{\mu-3}}^{(\mu-3)} = \dagger\chi_{\dagger \ell_{\mu-3}}^{(\mu-3)}; \\ \dagger\chi_1^{(\mu-4)} &= \dagger\chi_1^{(\mu-4)}, \dots, \dagger\chi_{\dagger \ell_{\mu-4}}^{(\mu-4)} = \dagger\chi_{\dagger \ell_{\mu-4}}^{(\mu-4)}; \\ &\dots\dots\dots \\ \dagger\chi_1^{(0)} &= \dagger\chi_1^{(0)}, \dots, \dagger\chi_{\dagger \ell_0}^{(0)} = \dagger\chi_{\dagger \ell_0}^{(0)}; \end{aligned}$$

a system of base characters $\dagger\chi_1^{(N-1)}; \dots; \dagger\chi_1^{(0)}, \dagger\chi_2^{(0)}, \dots, \dagger\chi_{\dagger \ell_0}^{(0)}$ satisfying the same conditions as the system $\dagger\chi_1^{(N-1)}; \dots; \dagger\chi_1^{(0)}, \dagger\chi_2^{(0)}, \dots, \dagger\chi_{\dagger \ell_0}^{(0)}$ except that $\dagger\chi_{\dagger h_i}^{(i)}$ are infinite or equal to ν_i for $i = 1, 2, \dots, \mu - 1$ and μ . Therefore if $\dagger\chi_{\dagger h_{\mu'}}^{(\mu')}$ is the first of the numbers $\dagger\chi_{\dagger h_i}^{(i)}$ which is neither infinite nor equal to $\nu_{\mu'}$, we would have $\mu' > \mu$, contrary to the choice of the system

$$\dagger\chi_1^{(N-1)}; \dagger\chi_1^{(N-2)}, \dagger\chi_2^{(N-2)}; \dots; \dagger\chi_1^{(0)}, \dagger\chi_2^{(0)}, \dots, \dagger\chi_{\dagger \ell_0}^{(0)}.$$

Thus $\nu_{\mu-1}$ being different than $\dagger\chi_{\dagger h_{\mu+1}}^{(\mu-1)}$ which is the only number among $\dagger\chi_i^{(\mu-1)}$ which is not equal to a number $\dagger\chi_i^{(\mu-1)}$ we can set $\dagger\chi_{\dagger h_{\mu-1}}^{(\mu-1)} = \dagger\chi_{\dagger h_{\mu-1}}^{(\mu-1)}$ and consider the set

$$\dagger\chi_1^{(\mu-2)} = \nu_{\mu-1}, \quad \dagger\chi_2^{(\mu-2)} = \nu_{\mu-1} + \dagger\chi_1^{(\mu-1)}, \dots$$

which then is composed of the numbers

$$\dagger\chi_1^{(\mu-2)}, \dagger\chi_2^{(\mu-2)}, \dots, \dagger\chi_{\dagger \ell_{\mu-2}}^{(\mu-2)}$$

and of $\dagger\chi_{\dagger h_{\mu+1}}^{(\mu-1)} + \nu_{\mu-1} = \dagger\chi_{\dagger h_{\mu}}^{(\mu)} + \nu_{\mu} + \nu_{\mu-1}$. Similarly we show that $\nu_{\mu-2}$ is distinct than $\dagger\chi_{\dagger h_{\mu+1}}^{(\mu-1)} + \nu_{\mu-1}$; which allows us to set $\dagger\chi_{\dagger h_{\mu-2}}^{(\mu-2)} = \dagger\chi_{\dagger h_{\mu-2}}^{(\mu-2)}$. Continuing in this manner we finally construct the system

$$\dagger\chi_1^{(0)}, \dagger\chi_2^{(0)}, \dots, \dagger\chi_{\dagger \ell_0}^{(0)}$$

which is composed of

$$\dagger\chi_1^{(0)}, \dagger\chi_2^{(0)}, \dots, \dagger\chi_{\dagger\ell_0}^{(0)}$$

and the number $\dagger\chi_{\dagger h_\mu}^{(\mu)} + \nu_\mu + \nu_{\mu-1} + \dots + \nu_1$. We then have

$$\dagger\ell'_0 = \dagger\ell_0 + 1 = n - 1 + 1 = n.$$

□

The following table shows the systems of base characters which correspond to the semigroup

$${}^*G = \{0, 702, 1404, 1620, 1836, 2052, 2106, 2160, 2214, 2268, 2322, 2340, 2358, 2376, 2383, 2390, 2394, 2397 + \mathbb{N}\};$$

the first column of the table being at the same time the system of characters of *G .

	1 st column				2 nd column			3 rd column			4 th column			5 th column (p 284)	
H_{17}	1				1			1			1			1	
H_{16}	3	4			3	4		3	4		3	4		3	4
H_{15}	4	7			4	7		4	7		4	7		4	7
H_{14}	7	11			7	11		7	11		7	11		7	11
H_{13}	7	18			7	18		7	18		7	18		7	18
H_{12}	18	25			18	25		18	25		18	25		18	25
H_{11}	18	43			18	43		18	43		18	43		18	43
H_{10}	18	61			18	61		18	61		18	61		18	61
H_9	54	72	115		54	72	115	54	72	115	54	72		54	72
H_8	54	126	169		54	126	169	54	126	169	54	126		54	126
H_7	54	180	223		54	180	223	54	180	223	54	180		54	180
H_6	54	234	277		54	234	277	54	234	277	54	234		54	234
H_5	54	288	331		54	288	331	54	288	331	54	288		54	288
H_4	216	270	504	547	216	270	547	216	270	504	216	270	504	216	270
H_3	216	486	720	763	216	486	763	216	486	720	216	486	720	216	486
H_2	216	702	936	979	216	702	979	216	702	936	216	702	936	216	702
H_1	702	918	1638	1681	702	918	1681	702	918	1638	702	918	1638	702	918
H	702	1620	2340	2383	702	1620	2383	702	1620	2340	702	1620	2340	702	1620

As examples of rings H whose characters are 702, 1620, 2340, 2383 we can quote the following:

$$\begin{aligned} & \overline{k[t^{702}, t^{1620}, t^{2340}, t^{2383}]} \\ & \overline{k[t^{702}(1+t^{72})^3, t^{1620}(1+t^{72})^7, t^{2383}(1+t^{72})^9]} \\ & \overline{k[t^{702}(1+t^{115})^3, t^{1620}(1+t^{115})^7, t^{2340}(1+t^{115})^9]} \\ & \overline{k[t^{702}(1+t^7)^{13}, t^{1620}(1+t^7)^{30}, t^{2340}(1+t^7)^{44}]} \\ & \overline{k[t^{702}(1+t^7)^{13}(1+t^{79})^3, t^{1620}(1+t^7)^3(1+t^{79})^7]} \end{aligned}$$

whose base character sequences are given by the above five columns respectively.

Finally let us point out that the characters of *H and the base characters of ${}^*H, {}^*H_1, \dots, {}^*H_{N-1}$ which are, as we have seen above, are invariants of *H , do not constitute a complete system of invariants. That is to say we can construct canonical rings *H and ${}^*H'$ which cannot be transformed into each other by a substitution of the form

$$t \rightarrow t(\alpha_0 + \alpha_1 t + \dots + \alpha_n t^n + \dots), \quad (\alpha_0 \neq 0)$$

in such a way that the characters of *H and ${}^*H'$, as well as the base characters of ${}^*H, {}^*H_1, \dots, {}^*H_{N-1}$ and ${}^*H', {}^*H'_1, \dots, {}^*H'_{N-1}$ are equal respectively. For example let

$$\begin{aligned} {}^*H &= k + kt^{4\nu}(1+t) + kt^{6\nu}(1+t) + kt^{7\nu}(1+t) + k[t]t^{8\nu}, \\ {}^*H' &= k + kt^{4\nu}(1+t+t^2) + kt^{6\nu}(1+t+t^2) + kt^{7\nu}(1+t+t^2) + k[t]t^{8\nu} \end{aligned}$$

where $\nu > 2$. These rings have the same characters which are

$$4\nu, 6\nu, 7\nu, 8\nu + 1.$$

Their base characters are also the same:

(p 285)

$$4\nu, 6\nu, 7\nu.$$

The rings ${}^*H_1, {}^*H'_1$ both being identical to

$$k + kt^{2\nu} + kt^{3\nu} + k[t]t^{4\nu},$$

base characters of ${}^*H'_1, {}^*H'_2, {}^*H'_3, {}^*H'_4$ are respectively the same as those of ${}^*H_1, {}^*H_2, {}^*H_3, {}^*H_4$. On the other hand there exists no substitution of the form

$$(\alpha) \quad t \rightarrow t(\alpha_0 + \alpha_1 t + \alpha_2 t^2 + \dots)$$

which transforms *H to ${}^*H'$. In fact such a transformation which maps *H to ${}^*H'$ should map *H_1 to ${}^*H'_1$, i.e. onto itself. Now assuming that 2ν is not divisible by the characteristic of k , the substitutions of the form (α) , which transform the ring

$${}^*H_1 = k + kt^{2\nu} + kt^{3\nu} + k[t]t^{4\nu}$$

onto itself, are of the form

$$t \rightarrow t(\alpha_0 + \alpha_\nu t^\nu + \alpha_{2\nu} t^{2\nu} + \alpha_{2\nu+1} t^{2\nu+1} + \dots)$$

none of which transforms the element

$$t^{4\nu} + t^{4\nu+1}$$

of *H to an element of the same order in ${}^*H'$ which is of the form

$$\xi_0(t^{4\nu} + t^{4\nu+1} + t^{4\nu+2}) + \xi_1(t^{6\nu} + t^{6\nu+1} + t^{6\nu+2}) + \dots$$

Section 8:

Let us consider now an algebraic branch passing through the origin and is defined by

$$Y_1 = Y_1(t), Y_2 = Y_2(t), \dots, Y_n = Y_n(t),$$

where $Y_1(t), Y_2(t), \dots, Y_n(t)$ are power series in t , whose constant terms are zero. Let us consider the ring $k[Y_1(t), Y_2(t), \dots, Y_n(t)]$. We can assume that this ring contains all elements whose orders are greater than a sufficiently large number (Lemma 2).

Theorem 7. **H being the canonical closure of $k[Y_1(t), Y_2(t), \dots, Y_n(t)]$, let $W({}^*H) = \{0, \nu_1, \nu_1 + \nu_2, \dots, \nu_1 + \nu_2 + \dots + \nu_{N-1} + \mathbb{N}\}$. The multiplicity sequence of the successive points of the branch $Y_1(t), Y_2(t), \dots, Y_n(t)$ is*

$$\nu_1, \nu_2, \dots, \nu_{N-1}, 1, 1, \dots$$

Proof. Let $w(Y_1(t))$ be the smallest of the numbers

$$w(Y_1(t)), w(Y_2(t)), \dots, w(Y_n(t)).$$

The point $O = (0, 0, \dots, 0)$ is then a multiple point of order $w(Y_1(t))$. On the other hand it is clear that $w(Y_1(t)) = \nu_1$. It suffices then to show that the multiplicity sequence of the successive points ($t = 0$) of the branch* (p 286)

$$Y'_1(t) = Y_1(t), Y'_2(t) = \frac{Y_2(t)}{Y_1(t)}, \dots, Y'_n(t) = \frac{Y_n(t)}{Y_1(t)}$$

*See P. Du Val, loc. cit. and J. G. Semple, "Singularities of space algebraic curves", *Proc. London Math. Soc.* (2), 44 (1938), 149-174.

which is obtained from $Y_1(t), Y_2(t), \dots, Y_n(t)$ by resolving it at the point O , are

$$\nu_2, \nu_3, \dots, \nu_{N-1}, 1, 1, \dots$$

We move the origin of the coordinates to the point $t = 0$ of the branch $Y_1'(t), Y_2'(t), \dots, Y_n'(t)$, which then becomes

$$Y_1'(t) - \eta_1, Y_2'(t) - \eta_2, \dots, Y_n'(t) - \eta_n$$

where $\eta_1, \eta_2, \dots, \eta_n$ denote the constant terms of the series $Y_1'(t), Y_2'(t), \dots, Y_n'(t)$. I_{ν_1} being the ideal of $k[Y_1(t), Y_2(t), \dots, Y_n(t)]$ formed by its elements of orders greater than or equal to ν_1 , it is obvious that

$$[I_{\nu_1}] = k[Y_1'(t) - \eta_1, Y_2'(t) - \eta_2, \dots, Y_n'(t) - \eta_n].$$

Now we know that

$${}^*H = k + Y_1(t)\overline{[I_{\nu_1}]}$$

and that

$$W(\overline{[I_{\nu_1}]}) = \{0, \nu_2, \nu_2 + \nu_3, \dots, \nu_2 + \nu_3 + \dots + \nu_{N-1} + \mathbb{N}\}.$$

Therefore the origin is a multiple point of order ν_2 for the branch

$$Y_1'(t) - \eta_1, Y_2'(t) - \eta_2, \dots, Y_n'(t) - \eta_n;$$

In other words, the smallest of the integers

$$w(Y_1'(t) - \eta_1), w(Y_2'(t) - \eta_2), \dots, w(Y_n'(t) - \eta_n)$$

is ν_2 . We complete the proof of theorem 7 by repeating this argument several times²¹. \square

It follows from theorem 3 that the numbers $\nu_1, \nu_2, \dots, \nu_{N-1}, \dots$ are obtained from the characters of *H in exactly the same way that these numbers, considered as the multiplicities of the branch, are obtained from the characters of Du Val associated to the branch $Y_1(t), Y_2(t), Y_3(t), \dots, Y_n(t)$. Therefore the characters of Du Val of this branch are the same as those of $k[Y_1(t), Y_2(t), \dots, Y_n(t)]$.

It is obvious that if two branches

$$Y_1(t), Y_2(t), \dots, Y_n(t); \quad Z_1(t), Z_2(t), \dots, Z_m(t)$$

passing through the origin can be transformed one into the other by a birational transformation which is regular at the origin, then the rings

$$k[Y_1(t), Y_2(t), \dots, Y_n(t)], \quad k[Z_1(t), Z_2(t), \dots, Z_m(t)]$$

are the same or, more precisely, can be transformed one into the other by a substitution of the form $t \rightarrow t(\alpha_0 + \alpha_1 t + \dots)$, ($\alpha_0 \neq 0$) and conversely. We then say that these two branches are regularly equivalent two each other. For two regularly equivalent branches, the rings (p 287)

$${}^*H = \overline{k[Y_1(t), Y_2(t), \dots, Y_n(t)]}, \quad {}^*H' = \overline{k[Z_1(t), Z_2(t), \dots, Z_m(t)]}$$

can obviously be transformed among themselves by a substitution of the form $t \rightarrow t(\alpha_0 + \alpha_1 t + \dots)$, ($\alpha_0 \neq 0$); but from the identity ${}^*H = {}^*H'$ we cannot deduce the equality of

$$k[Y_1(t), Y_2(t), \dots, Y_n(t)], \quad k[Z_1(t), Z_2(t), \dots, Z_m(t)].$$

We say that the two given branches are canonically equivalent if we have ${}^*H = {}^*H'$. Two regularly equivalent branches are also canonically equivalent without the converse necessarily being true. The characters of *H and the base characters of ${}^*H_1, {}^*H_2, \dots, {}^*H_{N-1}$ are then invariants of the branch $Y_1(t), Y_2(t), \dots, Y_n(t)$ for canonical equivalence and consequently for regular equivalence. Let us note however that the characters and the the base characters of ${}^*H, {}^*H_1, {}^*H_2, \dots, {}^*H_{N-1}$ constitute a complete system of invariants neither for canonical equivalence nor for regular equivalence; since we saw above that these characters and base characters do not suffice to determine *H .

The series $Y_1(t), Y_2(t), \dots, Y_n(t)$ clearly constitute a system of generators for ${}^*H = \overline{k[Y_1(t), Y_2(t), \dots, Y_n(t)]}$.

At the end of Section 6 we saw how one can construct the system of generators of *H starting from its base elements. In particular we saw that, m being the dimension of *H , i.e. the number of its base characters, every system of generators of *H contains m elements which constitute themselves a system of generators for *H . This is expressed geometrically by saying that if m is the number of base characters of $k[Y_1(t), Y_2(t), \dots, Y_n(t)]$, then one of the projections of dimension m of the branch $Y_1(t), Y_2(t), \dots, Y_n(t)$ is canonically equivalent to it while none of the projections of dimension less than m is equivalent to $Y_1(t), Y_2(t), \dots, Y_n(t)$.

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Translation Notes

¹Here I will denote the number of the page where this line begins in the original text. (*page 1*)

²By a power series Arf always means the formal power series throughout this article. (*page 1*)

³Arf uses numerals to denote sections. For ease of reference I explicitly used the word **Section**. (*page 1*)

⁴It should be understood throughout the article that we always have $0 = i_0 < i_1 < i_2 < \dots$. (*page 1*)

⁵Arf wrote *positive* here but he certainly means *non-negative*. (*page 2*)

⁶Arf uses the term *Auxiliary Theorem* but *Lemma* seems to be a better choice in English. (*page 2*)

⁷Here Arf wrote $S_i = \dots$, but that being clearly a typo, I changed it to $S_{i_1} = \dots$ (*page 3*)

⁸Arf does not use end-of-proof symbol but I inserted this symbol to enhance readability. (*page 4*)

⁹Canonical rings are now known as Arf rings. (*page 7*)

¹⁰Here Arf uses the Fraktur font \mathfrak{G} . I use \mathbb{N} . (*page 8*)

¹¹This is now known as the Arf closure. (*page 10*)

¹²Arf writes *group* here but certainly means *semigroup*. (*page 10*)

¹³Here Arf does not say *integers* but it is implied. (*page 13*)

¹⁴Here “nonzero” is intended but is not written in the original text. (*page 18*)

¹⁵Arf uses \mathfrak{H}' here. I use \mathcal{H}' . (*page 19*)

¹⁶Arf uses $\mathfrak{H}_{\ell-1}$ here. I use $\mathcal{H}_{\ell-1}$. (*page 21*)

¹⁷Here Arf uses $w(X_m)$, but $w(X_n)$ is probably *more correct*. (*page 22*)

¹⁸ Here it is written $*H'_1$ but it is a typo. I wrote $*H_1$. (*page 24*)

¹⁹Arf wrote here $\chi_{m+1} = \infty$, but it should certainly be $*\chi_{m+1} = \infty$. (*page 25*)

²⁰There was a serious typo here. Instead of “*contains*”, it should be “*does not contain*”.
(page 25)

²¹Here Arf writes “theorem 5”, but it is clearly a typo. I wrote “theorem 7”. (page 39)

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