

# Mitochondrial serine protease HTRA2 p.G399S in a kindred with essential tremor and Parkinson disease

Hilal Unal Gulsuner<sup>a,b</sup>, Suleyman Gulsuner<sup>b</sup>, Fatma Nazli Mercan<sup>c</sup>, Onur Emre Onat<sup>d</sup>, Tom Walsh<sup>b</sup>, Hashem Shahin<sup>e</sup>, Ming K. Lee<sup>b</sup>, Okan Dogu<sup>f</sup>, Tulay Kansu<sup>g</sup>, Haluk Topaloglu<sup>h</sup>, Bulent Elibol<sup>g</sup>, Cenk Akbostanci<sup>c</sup>, Mary-Claire King<sup>b,1</sup>, Tayfun Ozelik<sup>a,d,1</sup>, and Ayse B. Tekinay<sup>a,1</sup>

<sup>a</sup>Institute of Materials Science and Nanotechnology, National Nanotechnology Research Center (UNAM), and <sup>d</sup>Department of Molecular Biology and Genetics, Bilkent University, Ankara 06800, Turkey; <sup>b</sup>Departments of Medicine and of Genome Sciences, University of Washington, Seattle, WA 98195; <sup>c</sup>Department of Neurology, Faculty of Medicine, Ankara University, Ankara 06100, Turkey; <sup>e</sup>Department of Life Sciences, Bethlehem University, Bethlehem 92248, Palestinian Authority; <sup>f</sup>Department of Neurology, Faculty of Medicine, Mersin University, Mersin 33343, Turkey; and <sup>g</sup>Department of Neurology and <sup>h</sup>Department of Pediatrics, Neurology Unit, Faculty of Medicine, Hacettepe University, Ankara 06100, Turkey

Contributed by Mary-Claire King, October 25, 2014 (sent for review August 10, 2014; reviewed by Stanislas Lyonnet and Huda Y. Zoghbi)

Essential tremor is one of the most frequent movement disorders of humans and can be associated with substantial disability. Some but not all persons with essential tremor develop signs of Parkinson disease, and the relationship between the conditions has not been clear. In a six-generation consanguineous Turkish kindred with both essential tremor and Parkinson disease, we carried out whole exome sequencing and pedigree analysis, identifying HTRA2 p.G399S as the allele likely responsible for both conditions. Essential tremor was present in persons either heterozygous or homozygous for this allele. Homozygosity was associated with earlier age at onset of tremor ( $P < 0.0001$ ), more severe postural tremor ( $P < 0.0001$ ), and more severe kinetic tremor ( $P = 0.0019$ ). Homozygotes, but not heterozygotes, developed Parkinson signs in the middle age. Among population controls from the same Anatolian region as the family, frequency of HTRA2 p.G399S was 0.0027, slightly lower than other populations. HTRA2 encodes a mitochondrial serine protease. Loss of function of *HtrA2* was previously shown to lead to parkinsonian features in motor neuron degeneration (*mnd2*) mice. HTRA2 p.G399S was previously shown to lead to mitochondrial dysfunction, altered mitochondrial morphology, and decreased protease activity, but epidemiologic studies of an association between HTRA2 and Parkinson disease yielded conflicting results. Our results suggest that in some families, HTRA2 p.G399S is responsible for hereditary essential tremor and that homozygotes for this allele develop Parkinson disease. This hypothesis has implications for understanding the pathogenesis of essential tremor and its relationship to Parkinson disease.

gene identification | neurodegenerative disease | mitochondrial dysfunction | DNA sequencing | mutation

Essential tremor is one of the most frequent movement disorders in humans (1). It is characterized primarily by postural or kinetic tremor of the arms and hands, but head, legs, voice, and other regions of the body may also be affected (2). The worldwide prevalence is 0.9%, increasing to more than 4% in elderly populations (1). Familial essential tremor is genetically heterogeneous. Genetic linkage studies of multiply affected families revealed three genomic regions segregating with the condition, on chromosomes 3q13 [*ETM1*; Online Mendelian Inheritance in Man (OMIM) 190300], 2p22-24 (*ETM2*; OMIM 602134), and 6p23 (*ETM3*; OMIM 611456) (3–5). No clearly causal mutations have been identified in these regions, although the common variant DRD3 p.S9G in the *ETM1* region has been proposed as a risk factor and HS1BP3 p.A265G in the *ETM2* region appeared in two multiply affected families (6, 7). Genomewide association studies of essential tremor reported associations with common variants in an intron of *LINGO1* and in an intron of *SLC1A2* (8–10). Recently, DNAJC13 p.N855S, which had been identified in Parkinson disease patients, was also found in two unrelated patients with essential tremor (11). Nonsense mutation p.Q290X in the RNA-

binding protein FUS was identified by whole exome sequencing in a large family with essential tremor (*ETM4*; OMIM 614782) (12). Screening other subjects with essential tremor for *FUS* revealed two rare missense variants, suggesting that mutations in *FUS* explain a subset of cases with the condition (13, 14).

In this study, we examined a six-generation family segregating essential tremor, and in multiple relatives, essential tremor as a feature of Parkinson disease. We carried out whole exome sequencing of genomic DNA from three severely affected family members and subsequent pedigree analysis to identify the genetic basis of essential tremor and Parkinson disease in the family.

## Results

**Clinical Features.** The ET-1 family is from central Anatolia, where consanguineous marriages are common practice. Ancestors of the extended family have lived in the same area for more than 400 y. Essential tremor is known to have segregated in the family for generations. For this study, 24 individuals from the family were clinically assessed (Fig. 1). Diagnosis of essential tremor was based on criteria of the consensus statement on tremor of the movement disorder society (15). Diagnosis of Parkinson disease required presence of bradykinesia plus at least one of muscular rigidity, resting tremor, or postural instability (16).

## Significance

Essential tremor is one of the most frequent movement disorders of humans, but its causes remain largely unknown. In a six-generation family with both essential tremor and Parkinson disease, we identified a rare missense mutation of HTRA2 as the causative allele. Family members homozygous for this allele were more severely affected than those heterozygous for this allele. The same mutation had been associated with Parkinson characteristics in mouse mutants and with Parkinson disease in some, but not all, epidemiologic studies. Our results suggest that HTRA2 may be responsible for essential tremor in some families and that homozygosity for damaging alleles of HTRA2 may be responsible for Parkinson disease.

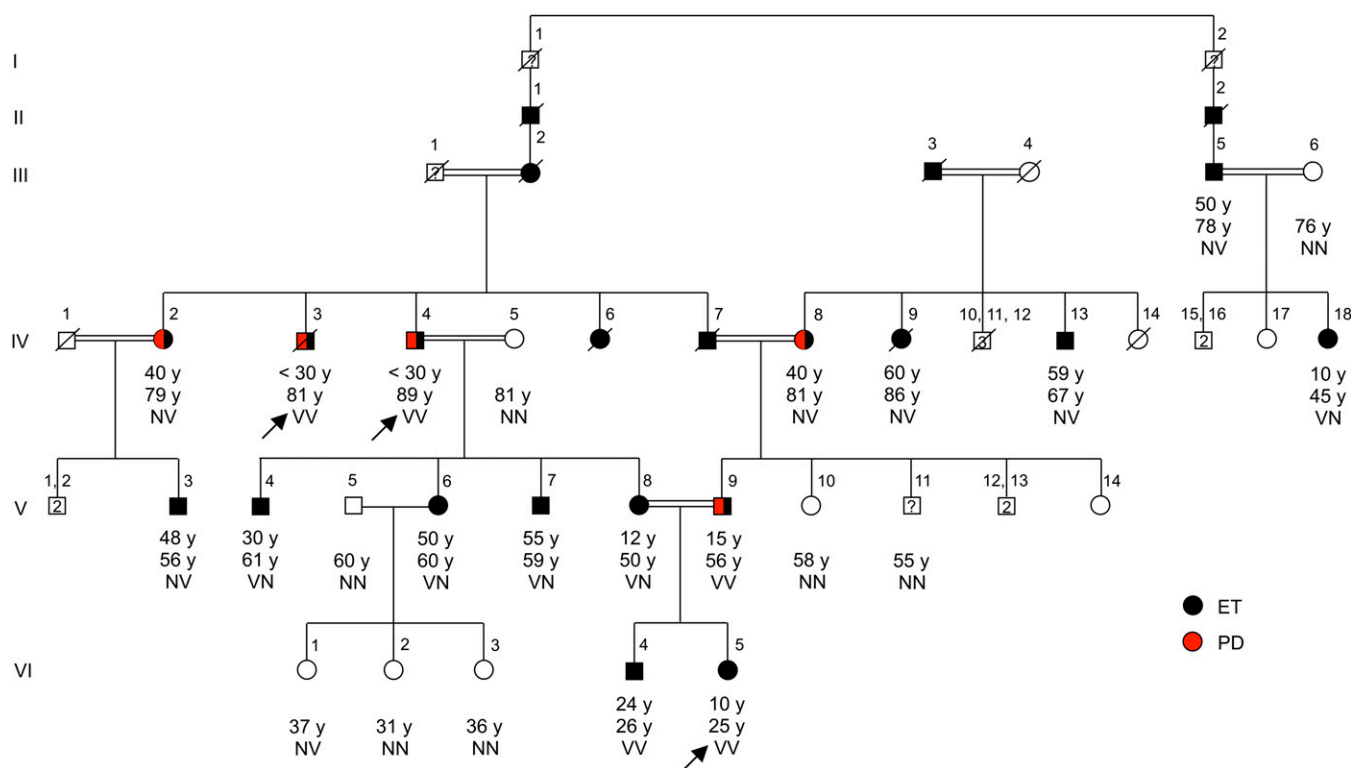
Author contributions: H.U.G., S.G., M.-C.K., T.O., and A.B.T. designed research; H.U.G., S.G., F.N.M., O.E.O., T.W., H.S., C.A., T.O., and A.B.T. performed research; H.U.G., S.G., F.N.M., O.D., T.K., H.T., B.E., and C.A. contributed new reagents/analytic tools; H.U.G., S.G., T.W., M.K.L., M.-C.K., T.O., and A.B.T. analyzed data; and H.U.G., S.G., T.W., M.-C.K., T.O., and A.B.T. wrote the paper.

Reviewers: S.L., Paris Descartes University and INSERM; and H.Y.Z., Jan and Dan Duncan Neurological Research Institute, Baylor College of Medicine.

The authors declare no conflict of interest.

<sup>1</sup>To whom correspondence may be addressed. Email: mcking@u.washington.edu, tozelik@fen.bilkent.edu.tr, or atekinay@unam.bilkent.edu.tr.

This article contains supporting information online at [www.pnas.org/lookup/suppl/doi:10.1073/pnas.1419581111/-DCSupplemental](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1419581111/-DCSupplemental).



**Fig. 1.** Pedigree of family ET-1 segregating essential tremor, with genotypes at HTRA2 p.G399S. Individuals with essential tremor (ET) are shown with black symbols, and those with Parkinson disease (PD) with red symbols. Age at onset of tremor for affected individuals, current ages, and genotypes at HTRA2 p.G399S are indicated in this order under the symbols. N indicates the wild-type allele, glycine; V indicates the variant allele, serine, at HTRA2 p.G399S. Individuals who underwent exome sequencing (IV:3, IV:4, VI:5) are indicated with arrows. Subject VI:1, who is unaffected and heterozygous for HTRA2 p.G399S, is presently 37 y old, younger than the mean age at onset of essential tremor among heterozygotes in the family. Phenotypes of four relatives are unknown: I:1, I:2, and III:1 are deceased, and V:11 refused clinical examination.

Based on these criteria, 11 relatives were diagnosed with essential tremor and five relatives were diagnosed with essential tremor coexisting with Parkinson disease (Table 1). All five relatives with both essential tremor and Parkinson disease reported having tremors for multiple years before appearance of clinically apparent Parkinson signs (Table S1). In the family as a whole, ages of onset of tremor ranged from approximately 10 to 60 y, although some family members were not able to determine the exact age of onset as their tremor developed over many years.

**Gene Discovery.** To identify the gene responsible for essential tremor in the family, we carried out whole exome sequencing of three severely affected relatives, IV:3, IV:4, and VI:5 (Table S2 and Fig. S1). Given that the kindred include multiple consanguineous marriages, we first considered the possibility of recessive inheritance of essential tremor as the result of homozygosity for a critical mutation that was identical by descent from a common ancestor. To evaluate this possibility, we identified homozygous genomic regions greater than 1 MB shared by the three affected relatives. There were three such regions, on chromosomes 2p13.1-p12, 14q32.13, and 22q11 (Table S3). We then identified, in

**Table 1. Clinical diagnosis of individuals of family ET-1**

Individual	Age at onset of tremor	Age at examination	Essential tremor	Parkinson disease
III:5	50	78	Severe	No
IV:2	40	79	Severe	Yes
IV:3	<30	81	Severe	Yes
IV:4	<30	89	Severe	Yes
IV:8	40	81	Severe	Yes
IV:13	59	67	Severe	No
IV:18	10	45	Severe	No
V:3	48	56	Mild	No
V:4	30	61	Mild	No
V:6	50	60	Mild	No
V:7	<55	59	Mild	No
V:8	12	50	Severe	No
V:9	15	56	Severe	Yes
VI:5	10	25	Severe	No

See Table S1 for detailed clinical characteristics.

each of these regions, all variants predicted to be damaging (*Methods*). The only potentially damaging variant was *HTRA2* (high temperature requirement protein A2) p.G399S (c.1195G > A, NM\_013247) at chr2:74,759,825 G > A (rs72470545). *HTRA2* p.G399S was predicted to be damaging by bioinformatics prediction tools Polyphen2 (score 0.986), SIFT ( $P = 0.02$ ), and MutationAssessor (score 2.39).

We next genotyped *HTRA2* p.G399S in all family members. Of the 16 individuals with essential tremor in the family, five were homozygous and 11 were heterozygous for the variant (Fig. 1). The occurrence of both heterozygotes and homozygotes for the mutation among affected relatives precluded recessive inheritance via identity by descent. However, genotypes of the family were consistent with dominant inheritance of essential tremor due to this allele, possibly with a dosage effect.

To evaluate the kindred for the possibility of dominant inheritance of any mutation in the genome, we next identified all potentially damaging variants, whether heterozygous or homozygous, shared by the three affected relatives with exome sequence. Including *HTRA2* p.G399S, there were 13 such variants, all missenses (Table S4). We genotyped all 13 variants in all family members. The only variant segregating with essential tremor in the family was the *HTRA2* allele (Fig. 1 and Table S4). The logarithm of odds (LOD) score for linkage of *HTRA2* p.G399S under an autosomal dominant model of inheritance was 5.27.

We next considered the possibility that affected family members heterozygous for *HTRA2* p.G399S might carry a second damaging allele of *HTRA2* on their other copy of chromosome 2. To evaluate this possibility, two tests were carried out. First, *HTRA2* was fully sequenced in all family members. No rare variants other than p.G399S were identified. Second, to test the possibility of a critical noncoding regulatory mutation of *HTRA2* shared by affected family members, extended (3.7 mb) haplotypes flanking *HTRA2* were determined for all relatives by using informative polymorphic markers (Fig. S2). Subjects heterozygous for *HTRA2* p.G399S did not share a second haplotype. We concluded that the possibility of a second pathogenic *HTRA2* allele segregating in this family is extremely unlikely. We also evaluated and excluded the possibility of linkage of essential tremor to a mutation in any of the genomic regions previously reported to be associated with the disorder (Fig. S3).

Several lines of evidence suggested that the number of copies of *HTRA2* p.G399S influenced severity of the phenotype. First, results of the Archimedes spiral test (17) suggested that severity of action tremor was influenced by both genotype and age (Fig. 2).

Second, homozygosity versus heterozygosity at *HTRA2* p.G399S was significantly associated with age at onset of tremor [ $F = 28.99$  (2, 24 df),  $P < 0.0001$ ; Fig. 3A]. Mean ages at onset of tremor were 21.4 y and 41.3 y for subjects homozygous and heterozygous for the mutation, respectively. Third, homozygosity versus heterozygosity at *HTRA2* p.G399S was associated with severity of both postural tremor [ $F = 18.68$  (2, 17 df)],  $P < 0.0001$ ; Fig. 3B) and kinetic tremor [ $F = 9.24$  (2, 17 df)],  $P = 0.0019$ ; Fig. 3C).

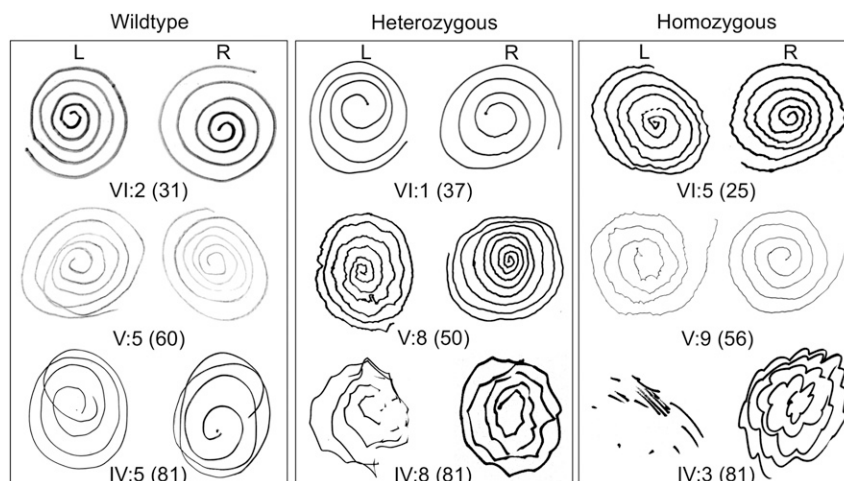
Of 59 other Turkish individuals with Parkinson Disease, none carries *HTRA2* p.G399S. In 25 other Turkish families, each including multiple relatives with essential tremor, complete sequencing of *HTRA2* did not reveal any damaging mutations. Of 364 unrelated Turkish controls, two were heterozygous and none were homozygous for *HTRA2* p.G399S, yielding an allele frequency of 0.0027 for this population. The reported allele frequency among persons of various ancestries is ~0.0034 (Table S4). Of the two Turkish controls heterozygous for the allele, one was anonymous and the other had given permission to be recontacted. The recontacted control is presently 27 y old. Upon neurological examination, she had no signs of essential tremor.

## Discussion

Whole exome sequencing of three severely affected relatives of family ET-1, with no prior hypothesis about a causal gene, revealed *HTRA2* p.G399S as the only potentially damaging allele cosegregating with essential tremor in the extended kindred. Homozygosity versus heterozygosity for this allele was associated with earlier age at onset and increased severity of essential tremor. Homozygotes for the mutation expressed a more severe phenotype, including signs of Parkinson disease at middle age, suggesting a dosage effect for this allele. Even among heterozygotes, age at onset of tremor was variable, due to genetic or environmental modifiers or stochastic effects (18).

*HTRA2* encodes a serine protease of 458 aa that localizes to the intermembrane space of mitochondria (19). Upon an apoptotic stimulus, the *HTRA2* protein is released from the mitochondria into the cytosol and binds to inhibitor-of-apoptosis proteins to initiate apoptosis (19). *HTRA2* proteolytic activity also triggers caspase-independent cell death (20).

Several lines of evidence suggest involvement of *HTRA2* in Parkinson disease. In the *mmd2* mouse model, *HtrA2* p.S275C leads to loss of protease activity and to a motor neuron degeneration phenotype with ataxia, repetitive movements, and akinesia (21). In addition, *HtrA2* knockout mice show parkinsonian features due to the loss of neurons in striatum (22). Based on these



**Fig. 2.** Archimedes spiral tests of individuals of various ages and genotypes at *HTRA2* p.G399S. For all individuals, R was the dominant right hand and L was the nondominant left hand.



CDK5 kinases are both known to be associated with Parkinson disease (30, 31). However, despite the biological plausibility of a role for *HTRA2* in Parkinson disease, subsequent epidemiologic studies did not detect higher frequencies of any of the rare missense alleles of *HTRA2* among Parkinson disease patients than among controls (25–27, 32).

The role of *HTRA2* in essential tremor may resolve this paradox. The individuals in family ET-1 who developed features of Parkinson disease exhibited these symptoms decades after onset of essential tremor. These subjects included all three individuals homozygous for *HTRA2* p.G399S and older than age 55, and two individuals heterozygous for *HTRA2* p.G399S and older than age 78. These observations suggest that *HTRA2* has a causal role in essential tremor and in the subset of Parkinson disease preceded by essential tremor. Among essential tremor patients generally, the incidence of Parkinson disease is increased four- to fivefold, and essential tremor and Parkinson disease have been observed in the same families (33–35). We speculate that case-control studies of that subset of Parkinson disease preceded by essential tremor would reveal associations with functional missense alleles of *HTRA2*.

Although essential tremor is one of the most common inherited neurologic disorders, identifying the responsible underlying genes has been challenging. Complexities of essential tremor include genetic heterogeneity, age-dependent penetrance, and variable expressivity, leading to difficulties both in differential diagnosis and in genetic analysis (18). Our results suggest that mutation of *HTRA2* can be responsible for essential tremor in some families and that parkinsonian features may develop in these patients, after age 70 in heterozygotes and in middle age in homozygotes. These observations reveal one cause of essential tremor and may illuminate some of the shared features of essential tremor and Parkinson disease phenotypes.

## Methods

**Subjects.** Family ET-1 is of Turkish origin. The proband was first evaluated at Ankara University Medical School. He and his informative relatives were followed at Ankara University Medical School and Hacettepe University Medical School. This project was approved by the ethics committees of all participating universities, and informed consent was obtained from all individuals. Each participant was examined for essential tremor by using the criteria of both the Washington Heights-Inwood Genetic Study of Essential Tremor and the Consensus Statement of the Movement Disorder Society on Tremor (Table 1 and Table S1) (15, 17). Each participant was rated for rest and postural tremors and was asked to perform four different tasks (pouring water, drinking water from a cup, finger-to-nose movement, and drawing spirals) to elicit kinetic tremor. During the examination, severity of tremor was rated during each task (17). Participants were evaluated for features of Parkinson disease by using the diagnostic criteria of the UK Parkinson Disease Society Brain Bank (16). Diagnosis of Parkinson disease required presence of bradykinesia plus at least one of muscular rigidity, resting tremor, or postural instability (16). We collected histories about distribution and severity of tremor and change of these parameters over time. Participants were interviewed about concurrent use of drugs that might cause action or resting tremor and for symptoms of hyperthyroidism, which was ruled out by thyroid-stimulating hormone tests as needed. Clinical assessments were carried out by at least two neurologists without knowledge of participants' genotypes. An additional 25 families with hereditary essential tremor, 59 patients with Parkinson disease, and 364 healthy controls, ages 20–30 and

from the same central Anatolian region as family ET-1, were recruited for genetic analysis from Ankara University Medical School, Hacettepe University Medical School, and Bilkent University. Unaffected individuals showed no signs of disease at the time of examination. Because controls were young adults, they serve as population controls. DNA was extracted from blood by using Nucleospin Blood Kit (Macherey-Nagel) according to manufacturer's protocol.

**Genomics.** Three severely affected individuals were selected for whole exome sequencing. Library construction and sequencing was carried out as described (36). Isolated genomic DNA was randomly sheared into 200–300 bp followed by end repair, A-tailing, and indexed paired-end adapter ligation. Exomes were captured by SeqCap EZ Exome v2 (Roche) and hybridized to biotinylated capture probes. Libraries were sequenced on an Illumina HiSeq2500.

**Bioinformatics.** Paired-end sequence reads were aligned to the human reference genome (hg19) by using Burrows-Wheeler Aligner (v0.6.1-r104) (37). Removal of PCR duplicates, sorting, and indexing were done by using SAMtools v0.1.18 (38). Indel realignments and base quality score recalibration were done with Genome Analysis Tool Kit (GATK; v3.0–0–g6bad1c6; [broadinstitute.org/gatk](http://broadinstitute.org/gatk)) by using recommended parameters (39). Genotypes were called and filtered by using GATK Unified Genotyper and Variant Filtration tools. Variants were annotated by using our in-house pipeline. Common SNPs and artifacts were excluded by using dbSNP v138, the National Heart, Lung, and Blood Institute (NHLBI) Exome Sequencing Project ([evs.gs.washington.edu/EVS](http://evs.gs.washington.edu/EVS)), the 1000 Genomes Project ([1000genomes.org](http://1000genomes.org)), and 700 exomes previously sequenced in our laboratory. Variants were defined as potentially damaging if they led to a premature stop codon or were missense mutations with scores on in silico prediction tools of SIFT  $P \leq 0.05$ , PolyPhen2  $\geq 0.8$ , and MutationAssessor  $\geq 1.95$  (Table S4) ([sift.jcvi.org/genetics.bwh.harvard.edu/pph2](http://sift.jcvi.org/genetics.bwh.harvard.edu/pph2); [mutationassessor.org](http://mutationassessor.org)) (40–42).

**Sanger Sequencing.** Genotypes for candidate variants for 24 informative relatives of family ET-1 were determined by capillary sequencing (ABI 3130xl Genetic Analyzer). All coding regions, potential regulatory regions, and miRNA binding sites of *HTRA2* were sequenced in probands from 25 unrelated families with multiple relatives with essential tremor. Primers were designed by using Primer3 (Table S5) (43). Products were analyzed via gel electrophoresis and Sanger sequenced. Sanger traces were analyzed with CLCbio Main Workbench software package (CLCbio). TaqMan genotyping assays (Life Technologies) were used for screening *HTRA2* p.G399S in Parkinson disease patients and controls (ABI 7900HT Fast Real-Time PCR System). Family ET-1 was genotyped with FAM- and HEX-labeled primers for polymorphic markers on chromosome 2 flanking *HTRA2* (ABI 3130xl Genetic Analyzer). Data were analyzed with GeneMapper v4.0 software package (Applied Biosystems).

**Linkage Analysis.** A LOD score for linkage of *HTRA2* to essential tremor in the ET-1 family was calculated by using LINKAGE v6.0 (44) under an autosomal dominant mode of inheritance with penetrance for homozygous or heterozygous genotypes of 1.0 at age 40 and older and 0.6 before age 40, no phenocopies, and a mutant allele frequency of 0.01 in the general population.

**Statistical Analysis.** Statistical significance was evaluated by one-way analysis of variance, or analysis of covariance, as appropriate.

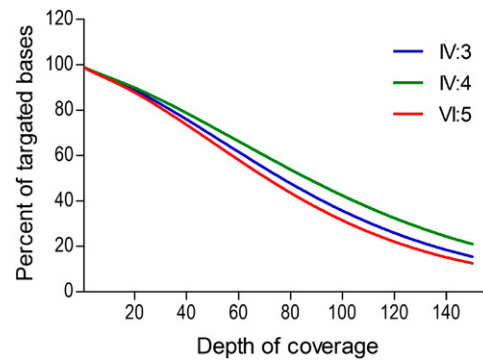
**ACKNOWLEDGMENTS.** We thank the families for participating in the study. The study is supported by The Scientific and Technological Research Council of Turkey (TUBITAK) Research Project 113S959 (to A.B.T.), Turkish Academy of Sciences (TUBA) support (to T.O.), TUBA-Young Scientists Award Programme (GEBIP) support (to A.B.T.), TUBITAK-Department of Science Fellowships and Grant Programmes (BİDEB) 2214/A doctoral fellowship (to H.U.G.), and by unrestricted gifts to the M.-C.K. laboratory.

- Louis ED, Ferreira JJ (2010) How common is the most common adult movement disorder? Update on the worldwide prevalence of essential tremor. *Mov Disord* 25(5): 534–541.
- Benito-León J, Louis ED (2006) Essential tremor: Emerging views of a common disorder. *Nat Clin Pract Neurol* 2(12):666–678, quiz 2p following 691.
- Gulcher JR, et al. (1997) Mapping of a familial essential tremor gene, FET1, to chromosome 3q13. *Nat Genet* 17(1):84–87.
- Higgins JJ, Pho LT, Nee LE (1997) A gene (ETM) for essential tremor maps to chromosome 2p22–p25. *Mov Disord* 12(6):859–864.
- Shatunov A, et al. (2006) Genomewide scans in North American families reveal genetic linkage of essential tremor to a region on chromosome 6p23. *Brain* 129(Pt 9):2318–2331.
- Jeanneteau F, et al. (2006) A functional variant of the dopamine D3 receptor is associated with risk and age-at-onset of essential tremor. *Proc Natl Acad Sci USA* 103(28):10753–10758.
- Higgins JJ, et al. (2005) A variant in the HS1-BP3 gene is associated with familial essential tremor. *Neurology* 64(3):417–421.
- Stefansson H, et al. (2009) Variant in the sequence of the LINGO1 gene confers risk of essential tremor. *Nat Genet* 41(3):277–279.
- Thier S, et al. (2012) Polymorphisms in the glial glutamate transporter SLC1A2 are associated with essential tremor. *Neurology* 79(3):243–248.
- Kuhlenbäumer G, Hopfner F, Deuschl G (2014) Genetics of essential tremor: Meta-analysis and review. *Neurology* 82(11):1000–1007.

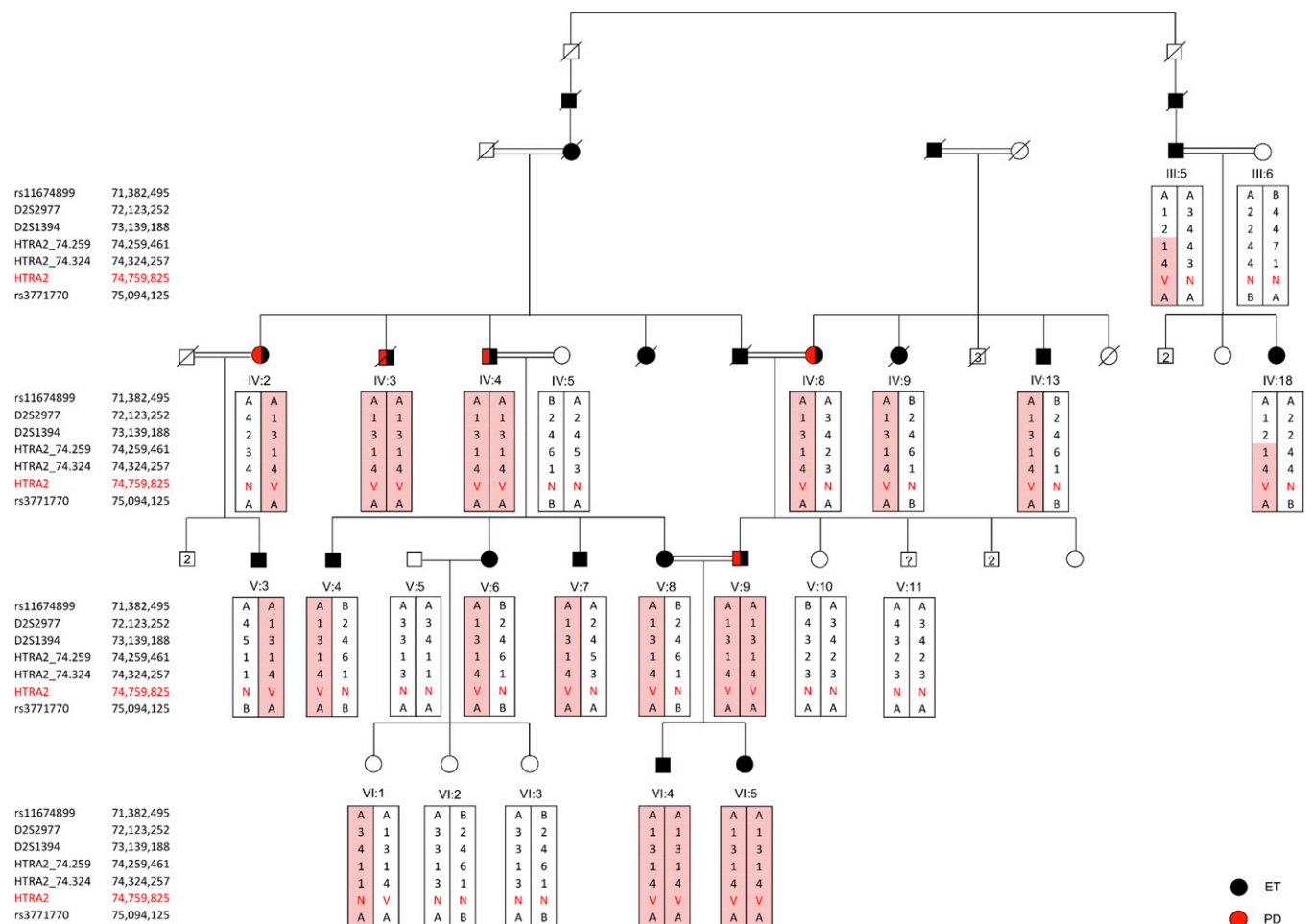
11. Rajput A, et al. (2014) VPS35 and DNAJC13 disease-causing variants in essential tremor. *Eur J Hum Genet*, 10.1038/ejhg.2014.164.
12. Merner ND, et al. (2012) Exome sequencing identifies FUS mutations as a cause of essential tremor. *Am J Hum Genet* 91(2):313–319.
13. Wu Y-R, et al. (2013) Identification of a novel risk variant in the FUS gene in essential tremor. *Neurology* 81(6):541–544.
14. Rajput A, et al. (2014) Identification of FUS p.R377W in essential tremor. *Eur J Neurol* 21(2):361–363.
15. Deuschl G, Bain P, Brin M; Ad Hoc Scientific Committee (1998) Consensus statement of the movement disorder society on tremor. *Mov Disord* 13(Suppl 3):2–23.
16. Hughes AJ, Daniel SE, Kilford L, Lees AJ (1992) Accuracy of clinical diagnosis of idiopathic Parkinson's disease: A clinico-pathological study of 100 cases. *J Neurol Neurosurg Psychiatry* 55(3):181–184.
17. Louis ED, Ford B, Lee H, Andrews H, Cameron G (1998) Diagnostic criteria for essential tremor: A population perspective. *Arch Neurol* 55(6):823–828.
18. Louis ED (2014) 'Essential tremor' or 'the essential tremors': Is this one disease or a family of diseases? *Neuroepidemiology* 42(2):81–89.
19. Hegde R, et al. (2002) Identification of Omi/HtrA2 as a mitochondrial apoptotic serine protease that disrupts inhibitor of apoptosis protein-caspase interaction. *J Biol Chem* 277(1):432–438.
20. Alnemri ES (2007) HtrA2 and Parkinson's disease: Think PINK? *Nat Cell Biol* 9(11):1227–1229.
21. Jones JM, et al. (2003) Loss of Omi mitochondrial protease activity causes the neuromuscular disorder of mnd2 mutant mice. *Nature* 425(6959):721–727.
22. Martins LM, et al. (2004) Neuroprotective role of the Reaper-related serine protease HtrA2/Omi revealed by targeted deletion in mice. *Mol Cell Biol* 24(22):9848–9862.
23. Strauss KM, et al. (2005) Loss of function mutations in the gene encoding Omi/HtrA2 in Parkinson's disease. *Hum Mol Genet* 14(15):2099–2111.
24. Sood P, et al. (2013) Characterization of transgenic mice overexpressing wild type and G399S mutant HtrA2/Omi – Implications for PD. *Basal Ganglia* 3(1):41.
25. Bogaerts V, et al. (2008) Genetic variability in the mitochondrial serine protease HTRA2 contributes to risk for Parkinson disease. *Hum Mutat* 29(6):832–840.
26. Lin C-H, Chen M-L, Chen GS, Tai C-H, Wu R-M (2011) Novel variant Pro143Ala in HTRA2 contributes to Parkinson's disease by inducing hyperphosphorylation of HTRA2 protein in mitochondria. *Hum Genet* 130(6):817–827.
27. Chen C-M, et al. (2014) HTRA2 variations in Taiwanese Parkinson's disease. *J Neural Transm* 121(5):491–498.
28. Plun-Favreau H, et al. (2007) The mitochondrial protease HtrA2 is regulated by Parkinson's disease-associated kinase PINK1. *Nat Cell Biol* 9(11):1243–1252.
29. Fitzgerald JC, et al. (2012) Phosphorylation of HtrA2 by cyclin-dependent kinase-5 is important for mitochondrial function. *Cell Death Differ* 19(2):257–266.
30. Fitzgerald JC, Plun-Favreau H (2008) Emerging pathways in genetic Parkinson's disease: Autosomal-recessive genes in Parkinson's disease—a common pathway? *FEBS J* 275(23):5758–5766.
31. Nakamura S, Kawamoto Y, Nakano S, Akiguchi I, Kimura J (1997) p35nck5a and cyclin-dependent kinase 5 colocalize in Lewy bodies of brains with Parkinson's disease. *Acta Neuropathol* 94(2):153–157.
32. Simón-Sánchez J, Singleton AB (2008) Sequencing analysis of OMI/HTRA2 shows previously reported pathogenic mutations in neurologically normal controls. *Hum Mol Genet* 17(13):1988–1993.
33. Benito-León J, Louis ED, Bermejo-Pareja F; Neurological Disorders in Central Spain Study Group (2009) Risk of incident Parkinson's disease and parkinsonism in essential tremor: A population based study. *J Neurol Neurosurg Psychiatry* 80(4):423–425.
34. Yahr MD, Orosz D, Purohit DP (2003) Co-occurrence of essential tremor and Parkinson's disease: Clinical study of a large kindred with autopsy findings. *Parkinsonism Relat Disord* 9(4):225–231.
35. Farrer M, et al. (1999) A chromosome 4p haplotype segregating with Parkinson's disease and postural tremor. *Hum Mol Genet* 8(1):81–85.
36. Walsh T, et al. (2010) Whole exome sequencing and homozygosity mapping identify mutation in the cell polarity protein GPM2 as the cause of nonsyndromic hearing loss DFN82. *Am J Hum Genet* 87(1):90–94.
37. Li H, Durbin R (2009) Fast and accurate short read alignment with Burrows-Wheeler transform. *Bioinformatics* 25(14):1754–1760.
38. Li H, et al.; 1000 Genome Project Data Processing Subgroup (2009) The Sequence Alignment/Map format and SAMtools. *Bioinformatics* 25(16):2078–2079.
39. DePristo MA, et al. (2011) A framework for variation discovery and genotyping using next-generation DNA sequencing data. *Nat Genet* 43(5):491–498.
40. Kumar P, Henikoff S, Ng PC (2009) Predicting the effects of coding non-synonymous variants on protein function using the SIFT algorithm. *Nat Protoc* 4(7):1073–1081.
41. Adzhubei IA, et al. (2010) A method and server for predicting damaging missense mutations. *Nat Methods* 7(4):248–249.
42. Reva B, Antipin Y, Sander C (2011) Predicting the functional impact of protein mutations: Application to cancer genomics. *Nucleic Acids Res* 39(17):e118.
43. Untergasser A, et al. (2012) Primer3—new capabilities and interfaces. *Nucleic Acids Res* 40(15):e115.
44. Ott J (1999) *Analysis of Human Genetic Linkage* (Johns Hopkins Univ Press, Baltimore).

PNAS  
Embargoed

Unal Gulsuner et al. 10.1073/pnas.1419581111



**Fig. S1.** Percentage of targeted bases covered at particular depths.



**Fig. S2.** Haplotype structure at the *HTRA2* locus for the ET-1 family. All participating family members were genotyped for six informative markers spanning 3.7 mb flanking *HTRA2* and haplotypes constructed by direct pedigree analysis. The 12 subjects heterozygous for *HTRA2* p.G399S harbor 9 different haplotypes for their alternate allele, providing strong evidence against a second pathogenic mutation closely linked to *HTRA2*.

Marker	Chr	Position	Unaffected IV:5 (mother)	Affected IV:4 (father)	Affected V:8 (daughter)	Affected VI:5	Affected IV:3	Affected IV:8
ETM1, 3q13.31, DRD3	3	110,081,245	A	A	A	A	B	B
	3	110,087,264	B	B	B	B	A	A
	3	110,055,839	A	A	A	A	B	B
	3	112,556,393	A	A	A	A	B	B
	3	112,494,693	B	B	B	B	B	B
	3	112,896,373	B	B	B	B	B	B
	3	113,269,201	A	A	B	B	A	A
	3	113,674,025	B	A	A	A	B	B
	3	113,817,246	A	A	B	A	A	B
	3	113,922,090	B	A	B	B	B	A
	3	114,257,976	A	A	A	A	A	A
	3	115,484,045	A	A	A	A	B	B
	3	116,606,836	A	A	A	A	B	B
	3	116,725,080	A	A	A	A	A	A
	3	117,871,884	A	A	B	B	B	B
	3	118,948,256	A	A	A	A	B	B
	3	118,948,288	B	A	B	B	A	A
	2	13,360,138	B	B	B	A	A	A
	2	13,685,130	A	A	B	A	B	B
	2	14,428,848	A	A	A	B	A	B
	2	14,665,842	A	A	B	A	B	B
ETM2, 2p25-p22, max lod at D2S272	2	14,907,998	A	B	B	A	B	A
	2	15,176,929	B	A	A	B	B	B
	2	15,902,912	B	A	A	B	A	B
	2	16,205,386	A	A	A	B	A	B
	2	16,545,353	A	B	B	A	B	A
	2	16,854,602	A	A	A	B	B	B
	2	17,103,115	B	A	B	A	B	A
	2	17,388,200	A	A	A	B	A	A
	2	17,469,654	B	B	B	A	B	B
	2	17,792,215	A	A	B	A	B	B
	2	18,275,620	A	B	B	A	B	A
	2	19,071,711	A	A	B	A	B	B
	2	19,229,595	B	B	B	A	B	B
	2	19,811,100	B	A	B	A	A	B
	6	13,002,629	A	A	A	A	B	A
	6	13,417,746	A	A	B	A	B	B
ETM3, 6p23, max lod at D6S1630 and D6S1605	6	13,836,166	A	A	B	A	A	B
	6	14,142,674	A	A	B	B	A	B
	6	14,749,648	B	B	B	B	A	B
	6	14,977,206	B	A	B	B	A	B
	6	15,041,943	A	A	A	A	B	A
	6	15,669,298	A	A	A	A	B	A
	6	15,795,336	B	B	B	B	A	B
	6	16,088,393	B	A	B	B	A	B
	6	16,418,562	A	A	A	A	B	A
	6	17,063,094	B	A	B	B	A	B
	6	17,627,590	A	B	B	A	B	A
	6	18,080,365	A	A	B	B	A	B
	6	18,410,621	A	B	A	B	B	A
	6	19,512,065	A	B	A	B	B	A
	6	19,922,050	A	A	A	A	B	A
	6	19,945,285	A	B	B	A	B	A
ETM4, 16p11.2, FUS	16	25,112,883	B	A	A	A	B	B
	16	25,682,287	A	A	A	A	B	B
	16	26,228,849	B	B	A	A	B	B
	16	26,621,916	B	A	B	A	B	B
	16	27,010,707	A	A	A	B	A	A
	16	27,435,038	B	B	B	B	A	A
	16	28,554,108	B	A	B	B	A	B
	16	28,570,005	A	B	A	B	A	A
	16	29,241,389	A	A	A	A	B	A
	16	30,971,810	B	A	B	A	B	A
	16	31,421,417	B	A	B	A	A	B
	16	31,536,419	B	A	A	A	B	B
	16	31,660,427	B	B	A	B	B	A
	16	31,663,822	B	B	A	B	B	A
	16	31,691,912	B	A	B	A	A	B
	16	31,860,399	B	B	A	B	A	A
DNAJC13 locus, 3q22.1	16	34,360,980	A	A	A	B	B	A
	16	35,111,585	B	A	A	A	B	B
	3	128,366,726	A	A	A	A	A	B
	3	129,239,679	A	A	A	A	B	B
	3	129,986,838	B	B	B	B	A	A
	3	130,954,093	A	A	A	A	A	A
	3	131,612,296	A	A	A	A	B	B
	3	131,782,733	A	A	A	A	A	A
	3	132,117,617	B	B	B	B	A	A
	3	132,254,090	B	A	A	A	A	B
	3	132,981,813	B	B	B	B	A	B
	3	133,437,778	A	A	A	A	A	B
	3	134,059,270	A	B	A	A	B	A
	3	134,669,241	A	A	A	A	B	A
	3	134,859,834	A	B	A	A	A	A
	3	134,983,377	A	A	A	A	B	B
	3	135,018,895	A	A	A	A	B	A
	3	135,024,271	A	A	A	A	A	A
	3	135,607,907	A	A	A	A	A	A
	3	136,103,920	B	B	B	B	B	A

**Fig. S3.** Essential tremor in family ET-1 is not linked to *DNAJC13* or to genomic regions previously reported to be associated with essential tremor. DNA samples from five affected subjects (IV:3, IV:4, IV:8, V:8, and VI:5) and from one unaffected subject (IV:5) were genotyped by using GeneChip mapping 250K NspI SNP arrays and analyzed by using GTYPE software (Affymetrix). Haplotypes were generated to evaluate the possibility of a disease-causing mutation in any of these regions (1–5). At each region, the haplotype shared by the affected father-daughter pair IV:4 and V:8 is indicated in pink. At none of these regions was this haplotype also shared by the other affected family members.

1. Gulcher JR, et al. (1997) Mapping of a familial essential tremor gene, FET1, to chromosome 3q13. *Nat Genet* 17(1):84–87.

2. Higgins JJ, Pho LT, Nee LE (1997) A gene (ETM) for essential tremor maps to chromosome 2p22-p25. *Mov Disord* 12(6):859–864.
3. Shatunov A, et al. (2006) Genomewide scans in North American families reveal genetic linkage of essential tremor to a region on chromosome 6p23. *Brain* 129(Pt 9):2318–2331.
4. Merner ND, et al. (2012) Exome sequencing identifies FUS mutations as a cause of essential tremor. *Am J Hum Genet* 91(2):313–319.
5. Rajput A, et al. (2014) VPS35 and DNAJC13 disease-causing variants in essential tremor. *Eur J Hum Genet*, 10.1038/ejhg.2014.164.

PNAS proof  
Embargoed

**Table S1. Clinical characteristics of affected individuals of family ET-1**

Individual	Age at Onset	Age at Examination	Tremor										Archimedes spiral test				Bradykinesia		Rigidity		Postural instability	Hypomimia
			Resting		Postural		Kinetic		Head	Chin	Voice	R		L		R	L	R	L			
			R	L	R	L	R	L				R	L									
III:5	50	78	—	+	+	++	+++	+++	Yes	No	Yes	+++	+++	+++	—	—	+	+	—	—	—	+
IV:2	40	79	++	+	++	++	++	++	Yes	No	Yes	+++	+++	+++	+	+	—	—	—	—	—	—
IV:3	<30	81	—	—	++	+++	++	+++	No	No	No	+++	+++	+++	++	++	++	++	++	++	++	++
IV:4	<30	89	—	—	++	+++	++	+++	No	No	No	+++	+++	+++	++	++	++	++	++	++	++	++
IV:8	40	81	++	+	++	+++	++	+++	No	Yes	No	+++	++	+	+	+	++	++	++	+	+	+
IV:13	59	67	—	—	+	++	+	++	No	No	No	++	++	+	—	—	—	—	—	—	—	—
IV:18	10	45	+	+	+++	+++	+++	+++	No	Yes	Yes	+++	+++	+++	+	+	—	—	—	—	—	+
V:3	48	56	—	—	+	+	+	+	No	No	No	+	+	+	+	+	—	—	—	—	—	—
V:4	30	61	—	+	+	+	+	+	No	No	No	+	+	+	+	+	+	+	+	—	—	—
V:6	50	60	—	—	+	+	—	—	No	No	No	—	—	—	—	—	—	—	—	—	—	—
V:7	<55	59	—	—	++	+	+	++	No	No	No	+	+	+	+	+	—	—	—	—	—	—
V:8	12	50	+	+	+++	+++	+++	+++	Yes	No	No	+++	+++	+++	++	++	—	—	—	—	—	+
V:9	15	56	+	++	++	+++	++	++	No	No	No	+++	+++	+++	+	+	+	+	+	—	—	+
VI:5	10	25	—	+	+++	++	++	++	No	No	No	++	++	++	+	+	—	—	—	—	—	+

For tremor +, low amplitude or barely perceivable tremor; ++, moderate amplitude tremor (1-2 cm); +++, large amplitude, severe tremor (>2 cm) (1). For Archimedes spiral test, bradykinesia, rigidity, postural instability and hypomimia: +, mild; ++, moderate; +++, severe. L, left hand; R, right hand. None of the subjects had intentional tremor. Subject IV:2 developed postural and action tremor at age 40 and subsequently bradykinesia and resting tremor. Subjects IV:3, IV:4, and V:9 developed mild to moderate postural and action tremor before age 30, and bradykinesia, rigidity, resting tremor, and postural instability with increasing age. Subject IV:8 developed postural and action tremor at age 40 and subsequently resting tremor, bradykinesia, rigidity, and postural instability. Subject IV:13 was using propranolol at the time of examination. Subjects V:3, V:4, V:6, and V:7 had mild tremor of at least 4-y duration. Subject V:8, whose clinical features of essential tremor were particularly severe, was diagnosed with essential tremor at age 12 and with Hashimoto's thyroiditis at age 49. Subject IV:9 was deceased, V:11 declined clinical examination, and VI:4 was not available for clinical examination but was assessed based on prior clinical history. These three subjects are not included in the table.

1. Louis ED, Ford B, Lee H, Andrews H, Cameron G (1998) Diagnostic criteria for essential tremor: A population perspective. *Arch Neurol* 55(6):823-828.

**Table S2. Results of whole exome sequencing of three affected relatives from family ET-1**

Feature	IV:3	IV:4	VI:5
Total number of reads	82,905,251	88,364,432	83,032,868
% of mapped reads	98.1	98.3	97.6
% of targeted bases covered $\geq 8X$	95.1	95.4	94.6
Joint coverage at $\geq 8X$ ,* %		93.6	
Average coverage, X	90	101	83
All coding variants	18,729	18,839	18,198
Shared by all 3 exomes		11,639	
Rare variants <sup>†</sup>		129	
Predicted damaging to protein function <sup>‡</sup>		13	
Cosegregation with essential tremor in the family		1 (HTRA2 p.G399S)	

\*Joint coverage was defined as fold coverage for the least well covered of the three samples.

<sup>†</sup>Variants were excluded if minor allele frequency  $\geq 0.005$  on dbSNP138, the 1000 Genomes Project, or the NHLBI Exome Sequencing Project.

<sup>‡</sup>Alleles predicted damaging to protein function were of the following classes: truncating mutations; splice site mutations predicted to lead to altered transcripts; whole gene deletions; and missense variants with all of Polyphen-2 score  $\geq 0.8$ , SIFT score  $\leq 0.05$ , and Mutation Assessor score  $\geq 1.95$ .

**Table S3. Homozygous regions shared by three affected relatives**

Chromosome	Start	End	Size, bp	All SNVs	Rare potentially damaging SNVs and indels*
2	73,518,867	75,115,108	1,596,241	48	1
14	94,912,799	96,157,331	1,244,532	41	0
22	16,953,727	18,650,682	1,696,955	77	0

Homozygosity mapping from whole exome data were performed by using PLINK V1.07 with 200 kb minimum segment size (1). In the shared homozygous regions, 98% of all coding bases were sequenced at least four times in at least one subject with average coverage of 76X.

\*Alleles predicted damaging to protein function were of the following classes: truncating mutations; splice site mutations predicted to lead to altered transcripts; whole gene deletions; and missense variants with Polyphen-2 score  $\geq 0.8$ , SIFT score  $\leq 0.05$ , and Mutation Assessor score  $\geq 1.95$ .

1. Purcell S, et al. (2007) PLINK: A tool set for whole-genome association and population-based linkage analyses. *Am J Hum Genet* 81(3):559–575.

**Table S4. All rare coding sequence variants predicted to be damaging and shared by three affected relatives of family ET-1**

Chr	Position	Ref	Var	Gene	Effect	Genotype	PPH2	SIFT	MA	GERP	ESP	1000G	Subjects with essential tremor		
													NN	NV	VV
2	55,194,157	C	T	EML6	R1839C	Het	0.998	0.022	2.25	6.17	—	0.0009	4	10	2
2	<b>74,759,825</b>	<b>G</b>	<b>A</b>	<b>HTRA2</b>	<b>G399S</b>	<b>Homoz</b>	<b>0.986</b>	<b>0.020</b>	<b>2.39</b>	<b>3.99</b>	<b>0.0034</b>	<b>0.0023</b>	<b>0</b>	<b>11</b>	<b>5</b>
3	13,359,234	G	A	NUP210	R1871C	Het	0.999	0.021	2.07	4.58	—	—	11	5	0
4	1,343,416	T	C	UVSSA	L68P	Het	1.000	0.001	3.02	4.98	—	—	10	6	0
6	13,306,697	A	G	TBC1D7	L243S	Het	1.000	0	2.67	5.87	—	—	9	7	0
9	104,190,765	T	C	ALDOB	E122G	Het	0.997	0	3.36	5.87	0.0001	—	9	7	0
9	135,203,279	C	A	SETX	V1236F	Het	0.868	0.003	1.95	4.82	—	—	5	11	0
12	52,284,475	C	T	ANKRD33	R124W	Het	1.000	0.001	2.28	—	0.0005	—	11	5	0
15	41,797,248	C	T	LTK	R647Q	Het	1.000	0	2.50	3.79	0.0003	—	11	5	0
15	58,004,256	G	A	GCOM1	R675Q	Het	0.999	0.036	1.99	2.79	—	—	6	10	0
15	90,328,681	G	A	ANPEP	R935W	Het	1.000	0.013	3.12	5.31	0.0009	0.0005	11	5	0
17	31,098,168	T	C	MYO1D	Y230C	Het	0.998	0	3.48	5.82	0.0008	—	10	6	0
19	48,565,262	G	A	PLA2G4C	P417L	Het	0.819	0.013	2.48	2.79	—	—	9	7	0

The variant segregating with essential tremor in the ET-1 family is shown in bold. 1000G, 1000 Genomes Project; Chr, chromosome; ESP, NHLBI Exome Sequencing project; MA, MutationAssessor; N, wild-type allele; PPH2, Polyphen2; Ref, reference base; V, variant allele; Var, variant base.

**Table S5. Primers used for PCR amplification and haplotype analysis**

Primer name	Forward	Reverse
<b>Segregation</b>		
EML6	CTGAGCTTGGGTTTGGAGAA	CAGATCCTGCACAGACTTGG
HTRA2	ATGCCTGGGTTTGGCTAATA	CAACTGGGATTGGGTTCG
NUP210	GTGTGAAGAGACGGCAGTGA	TGTGAGAGTGTCTGGGTGA
UVSSA	AATGAAGATGGGAAGGCAGT	AGTGGTAGCCCAAGGCAAG
TBC1D7	AAACTAACCTCAGGCCAAC	GGGTTTGGGATAAAGTTGTGAG
ALDOB	GCTTCCTTCTTTACTTGCCTTC	GGGTCCCTCGCACTAATACA
SETX	GGCTCAGGACACTGACGAA	CCAATGGCTGAAGATCCTGT
ANKRD33	CCCACATCAGTCTTGCTCCT	GTGGCAGTCACAAGGTGGTC
LTK	TACAGGAGGGAGGAGGTGAA	TCATCCACAGGTTAGGAGCA
GCOM1	GGCCATTTCCCTTATGTTCCA	GCTGTTTCTGAAGTGCCAAG
ANPEP	GGCTGGAGACTTTGTCCTTG	AGCTCCTCCTCAAGGCTGTT
MYO1D	TGGAGCAATCTCAAAGAGGA	CTAAGCAAGCAACCACCACA
PLA2G4C	CAGAAGTTCGTTGGATGTGG	CCTGGTGGATGCTGGTTTGA
<b>Coding regions</b>		
HTRA2_1	GTCCTACTGTCCGCCTGCT	CTGTGACCACGGCCTCATACT
HTRA2_2	TGTGGTGGAGAAGACAGCAC	AGAGCTAACAATGCCGGATG
HTRA2_3	CGCTGAGGATTACAGCTAAGG	CTTGGGAAGGAAGGATGTCTCA
HTRA2_4	TCAGTGTGGGAAGGGTAGGT	GACAGAAATGAGAACAAAGCTCA
HTRA2_5	TTGATGAGAGACTTGAGGTGGA	AGGAGTCAGTGCTGGTGGTT
<b>Regulatory regions</b>		
HTRA2_3UTR	TGAGGCTCCTGCTCTGATTT	AGCATGGGAATCTTTGCTC
HTRA2_5UTR1	CCTCGTGAAGCACAGAATC	GGACACAGGAGGTGGTGACT
HTRA2_5UTR2	TCACGGTGCTACAGGTGGTA	GAGCGGCTCTTTGACTCG
HTRA2_5UTR3	CGAGCAGTAGGAAGCAGTCA	AGCAGGCGGACAGTAGGAC
<b>STRs</b>		
HTRA2_74.259	FAM-GGGAGGAGTTGTGAGAATGC	GTTTCTTAGCACACAAGAACCAGGTCA
HTRA2_74.324	HEX-AGCAGGTCACAGAATAGCATGT	GTTTCTTTTATGATGACTACCCAGACATTG
D2S2977	FAM-GGCAGCACAGTGACAGATAA	GTTTCTTCTTCCCCAATCAACTCTCCT
D2S1394	FAM-GGCATCTTTATCCTTAGCCC	GTTTCTTCGGGGTCTGCATTACAGTAT
<b>SNPs</b>		
rs11674899	TTCAAGTGACATTCAAGAGAGAGC	GTGAGGGTGGAGAGACCAGA
rs3771770	AGTGGCCTGACTCTTGTCGT	GACTACCTCCTCAGTGCTTTCA